

Weak memory models using event structures

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A simple weak memory model: TSO

In this talk, we will focus on a simple weak memory model: TSO.

Store buffering. (can observe $r = s = 0$ on TSO but not SC):

$$\begin{array}{l} x, y \text{ initialized to } 0 \\ x := 1 \parallel y := 1 \\ r \leftarrow y \parallel s \leftarrow x \end{array}$$

Implementation: thread-local write buffers.

$$\begin{array}{l} \underbrace{\langle t_1 \parallel \dots \parallel t_n @ (\mu : \mathcal{V} \rightarrow \mathbb{N}) \rangle}_{\text{States of a SC machine}} \\ \text{becomes} \quad \underbrace{\langle t_1 : \kappa_1 \in (\mathcal{V} \times \mathbb{N})^* \parallel \dots \parallel t_n : \kappa_n @ \mu \rangle}_{\text{State of a TSO machine}} \end{array}$$

Some transition rules:

$$\begin{array}{l} (\textit{Write}) \quad \langle (x := k; t : b) @ \mu \rangle \rightarrow \langle (t : b++[(x, k)]) @ \mu \rangle \\ (\textit{Commit}) \quad \langle (t : [(x, k)]++b) @ \mu \rangle \rightarrow \langle (t : b) @ \mu [x \leftarrow k] \rangle \end{array}$$

This talk

A semantics that is

- ▶ **denotational**: executions computed by induction
 - ▶ the semantics is thus *compositional*
- ▶ **compact**: based on event structures
 - ▶ no combinatorial explosion
- ▶ **extensible**: inspired from game semantics
 - ▶ it is easy to add loops, control operators, higher-order, ...

Outline of the talk:

1. **A semantics warm-up**: compute the SC semantics using *traces*.
2. Getting back the **causality**.
3. An example: a model for **TSO**.
4. A game semantics *aparté* at the end (if time allows)

I. A DENOTATIONAL SEMANTICS FOR SC

With traces of originality

Syntax precedes semantics

Our very simple programming language:

$$\begin{aligned} e, e' &::= \{ \textit{Expressions} \} \\ &\quad k \in \mathbb{N} \mid r \in \mathcal{R} \mid e + e' \\ \iota &::= \{ \textit{Instructions} \} \\ &\quad \mid a := e \quad \text{(Write on a variable)} \\ &\quad \mid r \leftarrow a \quad \text{(Read on a variable)} \\ t &::= \{ \textit{Threads} \} \\ &\quad \mid \iota; \dots; \iota \\ p &::= \{ \textit{Programs} \} \\ &\quad t_1 \parallel \dots \parallel t_n \end{aligned}$$

In real life: conditionals and barriers.

Denotational semantics

Goal: compute $\llbracket t \rrbracket \in E$ where E is some space of denotations.

Our space here: languages of traces.

$$\Sigma_a = \mathcal{V} \times \{R, W\} \quad (\text{Abstract memory event})$$

$$\Sigma_c = \mathbb{N} \times \Sigma_a \times \mathbb{N} \quad (\text{Concrete memory event})$$

$$E = \mathcal{P}(\Sigma_c^*)$$

Notations: $(\tau : R_{x=k})$, $(\tau : W_{x:=k})$. (τ : thread-id)

Two steps:

1. **Thread semantics** $\llbracket t \rrbracket^O$: shared variables are considered *volatile*: $\llbracket x := 1; r \leftarrow x \rrbracket^O$ does not guarantee to read 1 in r .
2. **Closed semantics**: once $\llbracket t \rrbracket^O$ is calculated for the whole program, we restrict the scope of the variable $\llbracket x := 1; r \leftarrow x \rrbracket$ reads 1 in r .

Thread semantics

Semantics of threads. Parametrized over $\rho : \mathcal{R} \rightarrow \mathbb{N}$ and $\tau \in \mathbb{N}$.

$$\text{(Writes)} \quad \llbracket x := e; t \rrbracket(\rho, \tau) = (\tau : W_{x:=\rho(e)}) \cdot \llbracket t \rrbracket \rho$$

$$\text{(Reads)} \quad \llbracket r \leftarrow x; t \rrbracket(\rho, \tau) = \bigcup_{i \in \mathbb{N}} (\tau : R_{x=i} \cdot \llbracket t \rrbracket(\rho[r \leftarrow i], \tau))$$

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Semantics of programs. Obtained by interleaving (\circledast):

$$\llbracket t_1 \parallel \dots \parallel t_n \rrbracket = \llbracket t_1 \rrbracket(\emptyset, 1) \circledast \dots \circledast \llbracket t_n \rrbracket(\emptyset, n)$$

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Example. Define $\rho = (x := 1; y \leftarrow r \parallel y := 1; x \leftarrow s)$

- ▶ $W_{x:=1} \cdot W_{y:=1} \cdot R_{y=3} \cdot R_{x=2} \in \llbracket \rho \rrbracket$
- ▶ but $R_{x=0} \cdot R_{y=0} \cdot W_{x:=1} \cdot W_{y:=1} \notin \llbracket \rho \rrbracket$.

Closed semantics

Obtained by eliminating “inconsistent” traces (eg. $W_{x:=2} \cdot R_{x=3}$)

Linear memory model. A language of “consistent” traces:

$$\begin{aligned} M(\mu : \mathcal{V} \rightarrow \mathbb{N}) ::= & \epsilon \\ & | \tau : R_{x=\mu(x)} \cdot M(\mu) \\ & | \tau : W_{x:=k} \cdot M(\mu[x \leftarrow k]) \\ M ::= & M(x \mapsto 0) \end{aligned}$$

Closed semantics: $\llbracket p \rrbracket = \llbracket p \rrbracket^O \cap M$.

Example. Write $p = (x := 1; r \leftarrow y) \parallel (y := 2; s \leftarrow x)$

- ▶ every trace of $\llbracket p \rrbracket$ ends with $R_{x=1}$ or a $R_{y=2}$.

Summary

Advantages.

- ▶ Easy to define semantics, by induction on programs.
- ▶ By making M more complex, complex cache schemes can be handled

Drawbacks.

- ▶ Combinatorial explosion due to interleavings.
- ▶ How to model reordering of instructions?

Towards partial-orders.

- ▶ Because of reorderings, threads are not totally ordered
- ▶ Our goal: compute fine precisely dependencies between the instructions, given an architecture.

II. EVENT STRUCTURES

Raiders of the lost causality

Replacing traces by partial-orders

Idea: thread semantics should be a set of partial-orders.

Term:

$x := 1; y := 1;$

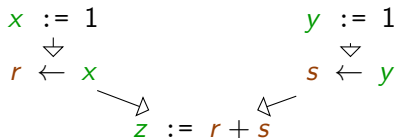
$r \leftarrow x; s \leftarrow y;$

$z := s + t$

Replacing traces by partial-orders

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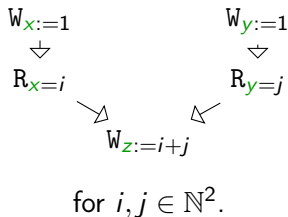
Dependencies (depends on the architecture):



Replacing traces by partial-orders

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Executions (depends on the architecture):

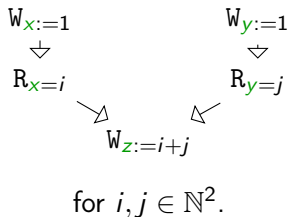


- ▶ traces on Σ_c becomes *partially ordered multisets* over Σ_c (pomsets)
- ▶ $\llbracket t \rrbracket^O$ becomes a set of such *pomsets*.

Replacing traces by partial-orders

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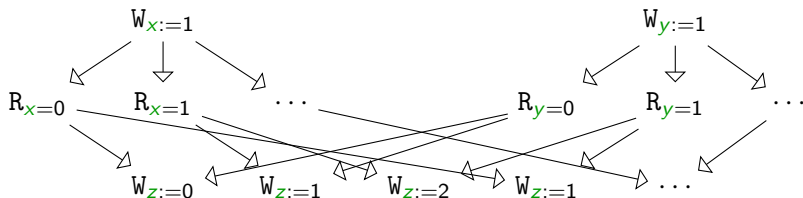
Executions (depends on the architecture):



- ▶ traces on Σ_c becomes *partially ordered multisets* over Σ_c (pomsets)
- ▶ $\llbracket t \rrbracket^O$ becomes a set of such *pomsets*.
- ▶ **Problem:** lots of redundancies in the pomsets..

Can we sum up *all* executions in a single object?

Can we glue the executions all together in a partial-order? For instance:

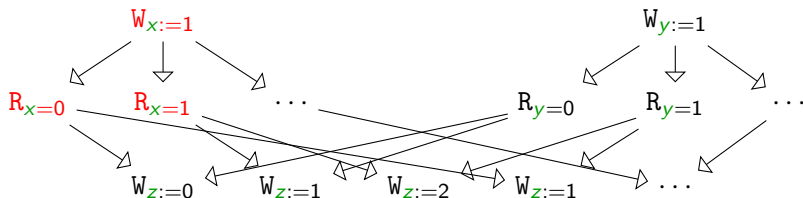


Which sets of events w are (partial) executions?

- ▶ w must be downward-closed for \rightarrow

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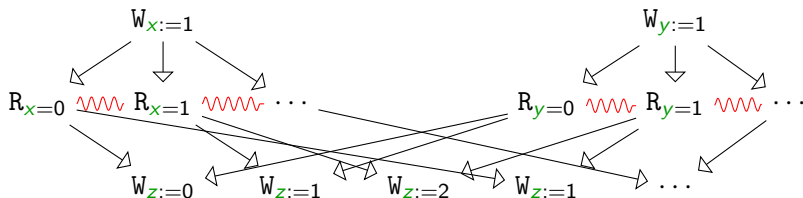


Which sets of events w are (partial) executions?

- ▶ w must be downward-closed for \rightarrow
- ▶ and \dots ? $\{W_{x:=1}, R_{x=0}, R_{x=1}\}$ cannot be a valid execution.

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Which sets of events w are (partial) executions?

- ▶ w must be downward-closed for \rightarrow
- ▶ and ...? $\{W_{x:=1}, R_{x=0}, R_{x=1}\}$ cannot be a valid execution.

\Rightarrow Need more structure than a partial-order: **conflicts**.

Event structures save the day

Definition (Event structures)

A set of event E with:

- ▶ A notion of **causality** represented by a *partial order* \leq_E
- ▶ A notion of **conflict** represented by a *relation* \sim_E
- ▶ A labelling $l : E \rightarrow \Sigma$.

(+ axioms)

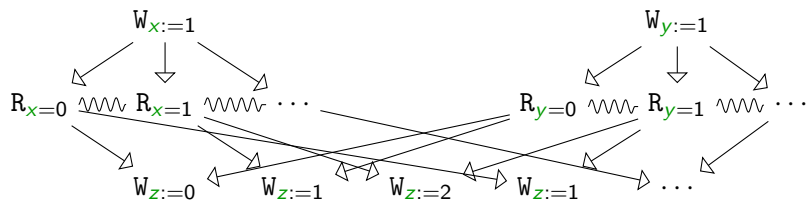
Definition (Configuration or partial execution)

A **configuration** of E is a subset w of E :

- ▶ downward-closed: $e \leq e' \in w \Rightarrow e \in w$.
- ▶ that does not contain two conflicting events

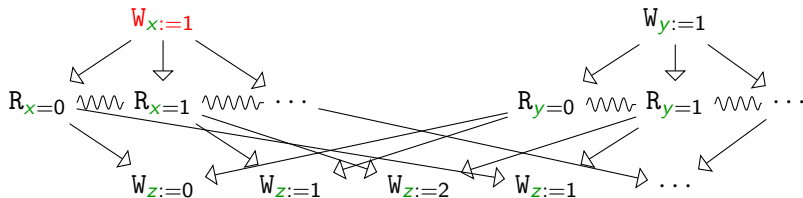
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On the example:



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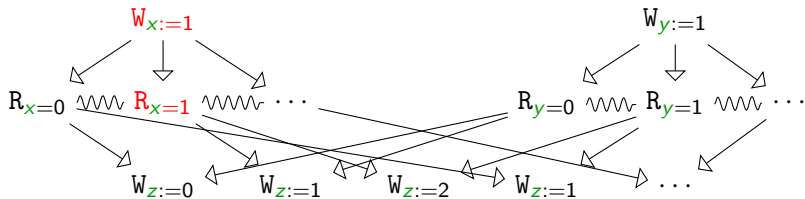


We have the configuration:

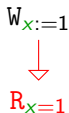
$W_{x:=1}$

Event structures save the day

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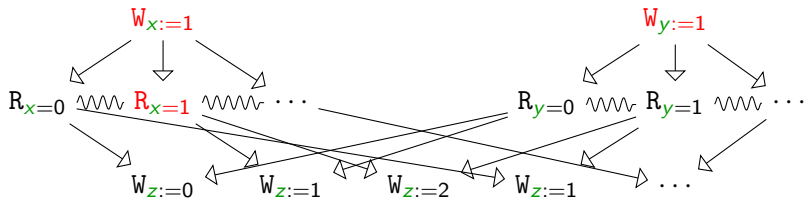


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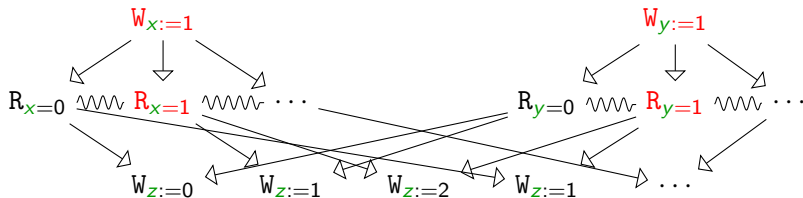


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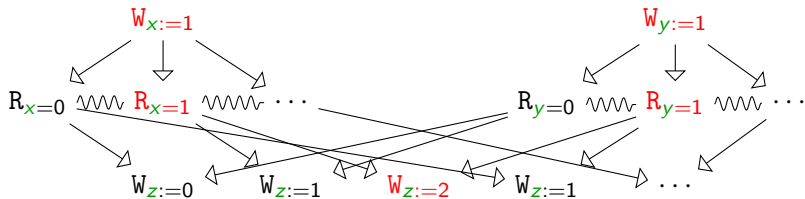


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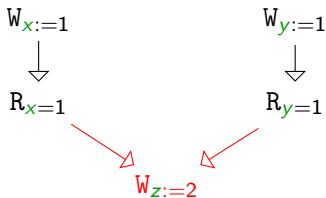


Event structures save the day

On the example:



We have the configuration:



III. DESIGNING A SEMANTICS WITH EVENT STRUCTURES

Dessine-moi une structure d'événements

A model for the TSO architecture

We now repeat the story using event structures for TSO.

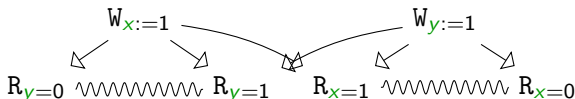
Two steps:

- ▶ *Open semantics*: $\llbracket t \rrbracket^O$ is an event structure
- ▶ *Closed semantics*: $\llbracket t \rrbracket = \llbracket t \rrbracket^O \wedge \mathcal{M}_{\text{TSO}}$

Store buffering:

x, y initialized to 0
 $x := 1 \parallel y := 1$
 $r \leftarrow y \parallel s \leftarrow x$

becomes:



Thread semantics

By induction as before, generalizing operations to event structures.

Threads: (omitting thread-ids)

$$\mathcal{T}[\mathbf{x} := e; t]\rho = W_{\mathbf{x}:=\rho(e)} \cdot \llbracket t \rrbracket \rho \quad \left| \quad \mathcal{T}[\mathbf{r} \leftarrow \mathbf{x}; t]\rho = \sum_{i \in \mathbb{N}} R_{\mathbf{x}=i} \cdot \llbracket t \rrbracket (\rho[\mathbf{r} \leftarrow i])$$

Programs:

$$\mathcal{T}[t_1 \parallel \dots \parallel t_n] = \llbracket t_1 \rrbracket (\emptyset, 1) \parallel \dots \parallel \llbracket t_n \rrbracket (\emptyset, n)$$

$$\llbracket t_1 \rrbracket \emptyset \quad \dots \quad \llbracket t_n \rrbracket \emptyset$$

Consistent memory behaviours

A Σ -labelled partial order is TSO-consistent when it satisfies:

1. **Write serialization.** Writes on a variable are totally ordered.

$$\begin{array}{l} W_{x:=1} \rightarrow W_{x:=3} \rightarrow W_{x:=4} \\ W_{y:=2} \rightarrow W_{y:=0} \end{array}$$

2. **Coherent reading.** For $e = R_{x=k} \in q$, $W_{x:=k}$ is the maximal event of $\{W_{x:=n} \in q \mid W_{x:=n} \leq e\}$

$$W_{y:=2} \rightarrow W_{x:=2} \rightarrow W_{x:=3} \rightarrow R_{y=0} \rightarrow R_{x=3}$$

3. **Writes propagation.** For all writes $w \in q$, and for all *incomparable* reads $r, r' \in q$ in a different thread than w , $(w \leq r)$ iff $(w \leq r')$
4. **Thread sequentialization** Two events from the same thread are comparable [unless it is an independent read & write pair].

\mathcal{M}_{TSO} and the synchronized product

Theorem

There exists an event structure \mathcal{M}_{TSO} whose configurations are exactly consistent TSO-execution.

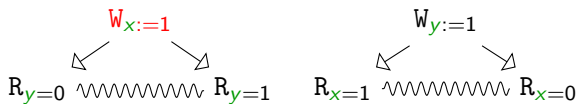
(Relies on TSO execution being closed under “prefix”)

How to combine $\mathcal{I}[[t]]$ and \mathcal{M}_{TSO} ? Using the **synchronized product**:

$$[[t]] = \mathcal{I}[[t]] \wedge \mathcal{M}_{\text{TSO}}.$$

Example

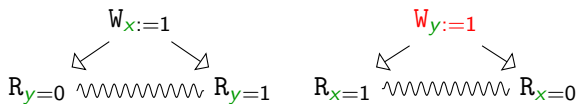
$$p = \begin{array}{l} x := 1 \\ r \leftarrow y \end{array} \parallel \begin{array}{l} y := 1 \\ s \leftarrow x \end{array}$$



(Thread semantics)

Example

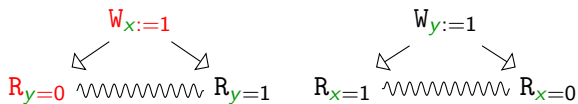
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(Computing $\mathcal{T}[[p]] \wedge \mathcal{M}_{\text{TSO}}$)

Example

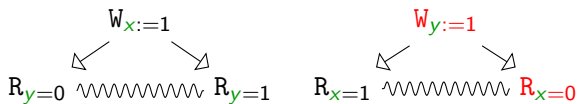
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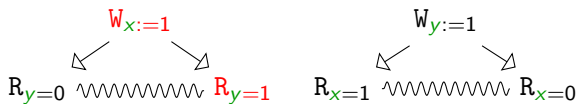
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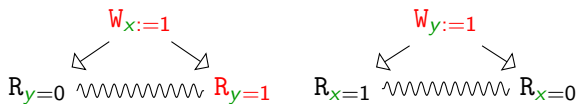
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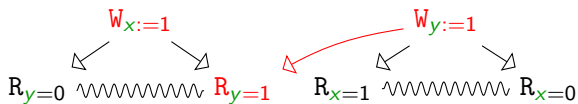
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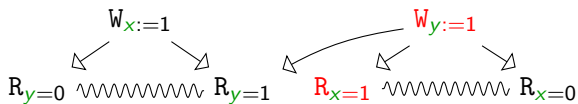
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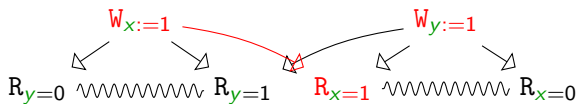
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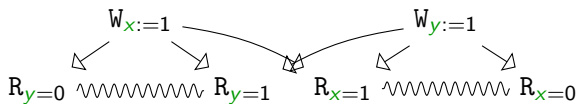
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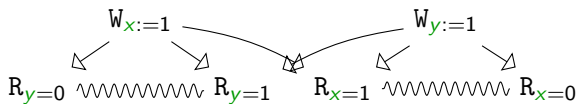
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(Computing $\mathcal{T}[[p]] \wedge \mathcal{M}_{\text{TSO}}$)

We can observe $r = 0 \wedge s = 0$.

Link with operational semantics

A trace of $\llbracket t \rrbracket$ is a linearization of a configuration of $\llbracket t \rrbracket$

Theorem

The traces of $\llbracket t \rrbracket$ are in one-to-one correspondance between the usual operational semantics for TSO.

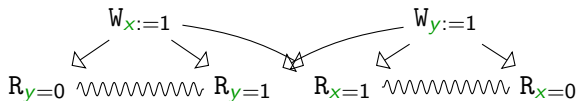
However, there are no *explicit* buffers in our semantics.

Implicitly represented by *concurrency*: If $R_{x=k}$ is concurrent to $W_{x:=k'}$, the read does not see the write.

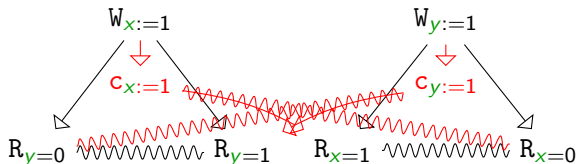
Implicit vs. explicit

Our model is *implicit*: no internal events

Implicit semantics of SB.



Explicit semantics of SB.



Reordering

In TSO, it is sound to reorder a write followed by an independent read.

This changes the *thread semantics*.

$\mathcal{T}[\text{SB}]^O$ becomes:

$$W_{x:=1} \quad R_{y=0} \wedge R_{y=1} \quad W_{y:=1} \quad R_{x=0} \wedge R_{x=1}$$

IV. THE GAME SEMANTICS BEHIND THAT

Finding nails for a hammer

A quick overview of game semantics

Game semantics: *interactive* semantics for higher-order computation.

- ▶ Types \rightarrow Games (set of moves + rules)
- ▶ Programs \rightarrow Rule-preserving strategies (set of “valid plays”)

Objective: use game semantics to reformulate thread semantics.
Instead of

$$\llbracket r \leftarrow x; t \rrbracket \rho = \text{complicated surgery on } \llbracket t \rrbracket \rho$$

replace it by:

$$\llbracket r \leftarrow x; t \rrbracket \rho = \text{let } \odot \langle x, \llbracket \lambda r. t \rrbracket \rangle$$

where:

- ▶ \odot : strategy composition
- ▶ `let` is a carefully-written strategy.

A strategy for read

Usually, reads are interpreted by a strategy $\text{read} : \text{var} \rightarrow \text{unit}$:

$$\text{read} : (x : \text{var}) \rightarrow \text{int}$$

A strategy for read

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`read : (x : var) → int`


`ask`

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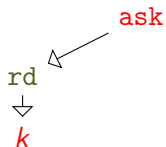
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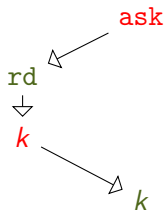
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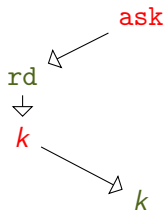
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A strategy for read

Usually, reads are interpreted by a strategy $\text{read} : \text{var} \rightarrow \text{unit}$:

`read : (x : var) → int`



Problem. No access to the continuation to break causalities.

Interpreting let

Here `let` has type $\text{var} \rightarrow (\text{int} \rightarrow \text{int}) \rightarrow \text{unit}$. For instance:

```
let read x f =  
    let z = !x in f z
```

This gives the following strategy:

$$x : \text{var} \rightarrow f : (\text{int} \rightarrow \text{unit}) \rightarrow \text{unit}$$

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let read x f =  
    let z = !x in f z
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This gives the following strategy:

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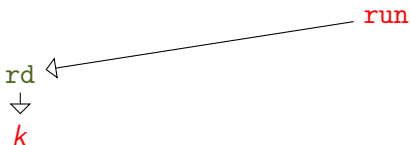
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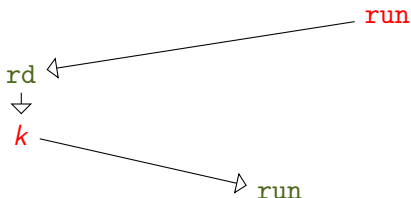
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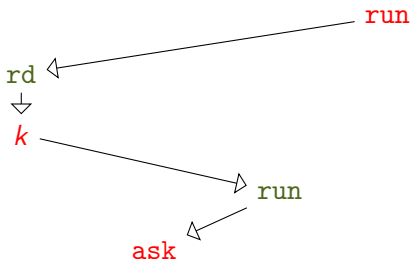
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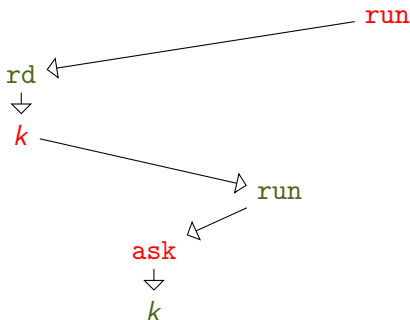
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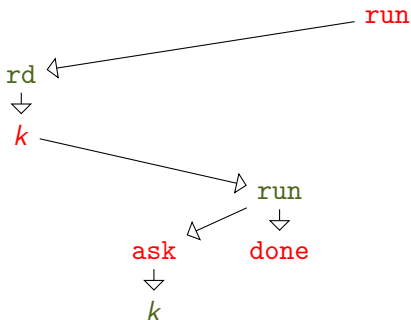
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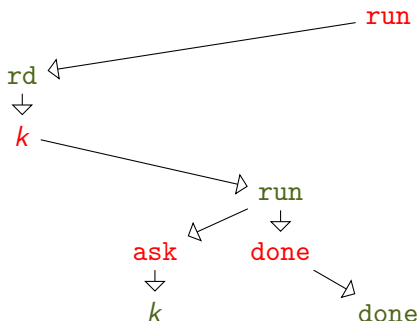
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Adding concurrency in the mix

This type support more interesting definitions of `let`:

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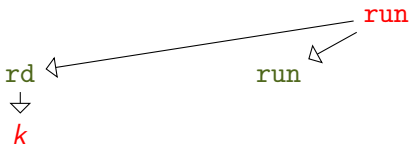
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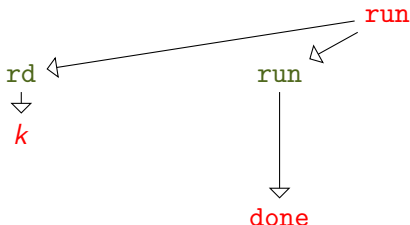
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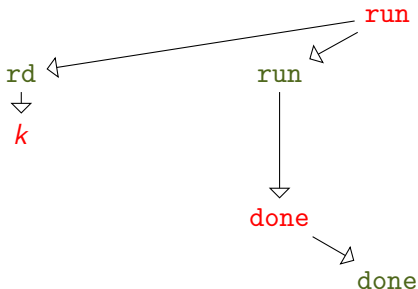
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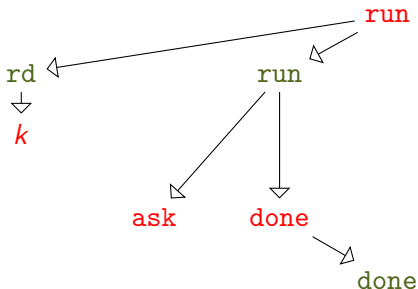
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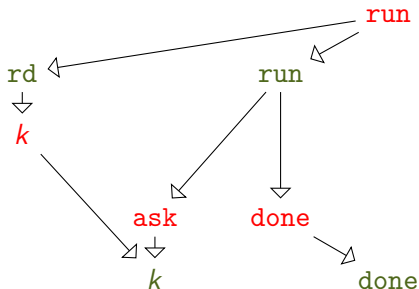
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Example

Consider $t(x, y) = \text{let } x \ (\lambda n. \text{write } y \ 1; n + 1):$

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ask

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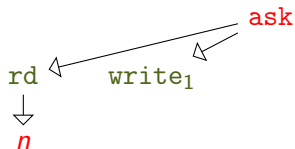
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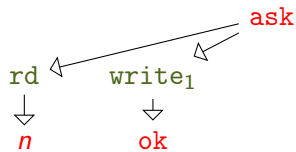
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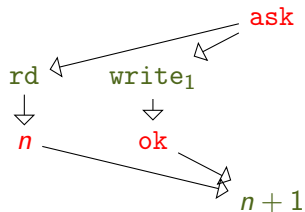
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A new model

Thread semantics. We use strategies `let` and `write`:

$$\mathcal{I}[\text{let } x \text{ } f] = \text{let} \odot \langle x, f \rangle$$

$$\mathcal{I}[x := k] = \text{write} \odot \langle x, k \rangle$$

No more ad-hoc inductive constructions: all is contained in the strategies `let` and `write`.

From $\Gamma \vdash t : A$, we get $\mathcal{I}[t] : \llbracket \Gamma \rrbracket \Rightarrow \llbracket A \rrbracket$.

Storage semantics. \mathcal{M}_{TSO} induces a strategy on $\mathfrak{m}_{\text{TSO}} : \llbracket \text{var} \rrbracket^n$.

Semantics. For $x_1 : \text{var}, \dots, x_n : \text{var} \vdash t : \text{unit}$:

$$\llbracket t \rrbracket = \mathcal{I}[t] \odot \mathfrak{m}_{\text{TSO}}$$

Conclusion

Summary.

- ▶ We defined an *denotational* and *extensible* interpretation of concurrent programs in terms of *event structures*.
- ▶ By using the higher-order power of strategies, the behaviour of reads, writes, and the memory are all specified by one event structure.
- ▶ Because of the game semantics, it scales to function calls, control features, etc.

To go further.

- ▶ Look at explicit models for weaker architectures (eg. POWER/ARM)
- ▶ Implicit models for those architecture will need *read-from justifications* (introduced by Jeffrey & Riley)
- ▶ Software models? (the model is very expressive)