

Structures concurrentes en sémantique des jeux

Simon Castellan, LIP
Soutenance de thèse

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A Monopoly problem: the theory



Property of Albert.



Property of Barnabé.

To buy both:

$$\text{price} = \text{price Albert} + \text{price Barnabé} \stackrel{?}{=} \text{price Barnabé} + \text{price Albert}$$

A Monopoly problem: the practice

- (to *B*) How much for park lane?
- (*B*) £400.
- (to *A*) How much for mayfair?
- (*A*) Never mind the monopoly,
I need money: £300

Total price: £700.

A Monopoly problem: the practice

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- (*B*) £400.
- (to *A*) How much for mayfair?
- (*A*) Never mind the monopoly, I need money: £300

Total price: £700.

- (to *A*) How much for mayfair?
- (*A*) I need money: £300
- (to *B*) How much for park lane?
- (*B*) Eh! This monopoly will cost you: £600

Total price: £900.

Beyond formulae : strategies

price = price Albert + price Barnabé $\stackrel{?}{=}$ price Barnabé + price Albert

Albert *then* Barnabé

(to A) How much for mayfair?

q_A



(A) I need money: £300

300



(to B) How much for park lane?

q_B



(B) Eh! £600

600



Total price: £900

900

Beyond formulae : strategies

$$\text{price} = \text{price Albert} + \text{price Barnabé} \stackrel{?}{=} \text{price Barnabé} + \text{price Albert}$$

Albert *then* Barnabé

(to A) How much for mayfair?

(A) I need money: £200

(to B) How much for park lane?

(B) Eh! £500

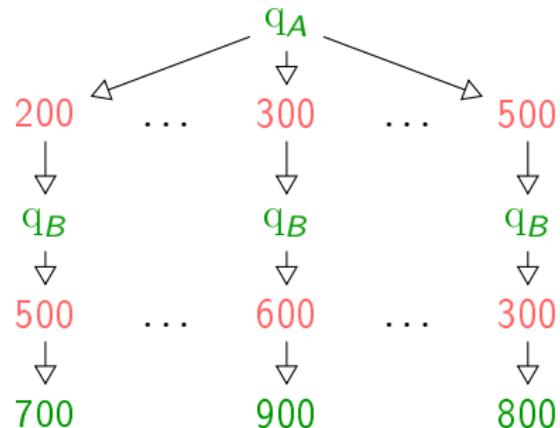
Total price: £700

	200	300
q _A	↓	↓
q _B	↓	↓
	500	600
↓	↓	↓
700	900	

Beyond formulae : strategies

price = price Albert + price Barnabé $\stackrel{?}{=}$ price Barnabé + price Albert

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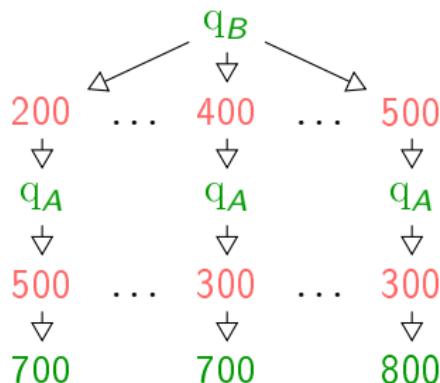


Beyond formulae : strategies

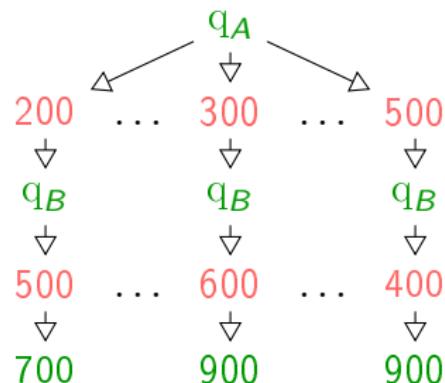
$$\text{price} = \text{price Albert} + \text{price Barnabé} \stackrel{?}{=} \text{price Barnabé} + \text{price Albert}$$

Same *formula*, two **different strategies**:

Barnabé *then* Albert



Albert *then* Barnabé

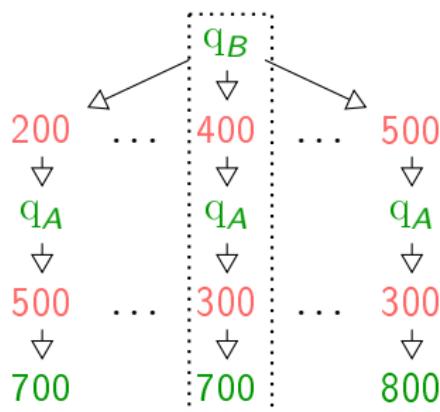


Beyond formulae : strategies

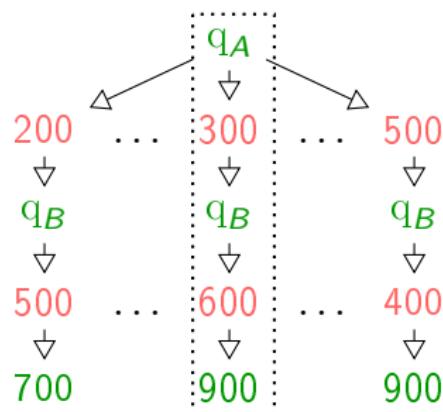
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Same *formula*, two **different strategies**:

Barnabé *then* Albert



Albert *then* Barnabé



A **branch** is a play of the strategy against a particular environment.

Two kinds of interpretations

Those strategies correspond to different programs:

$x = \text{askAlbert}()$

$y = \text{askBarnab\'e}()$

$\text{tot} = x + y$

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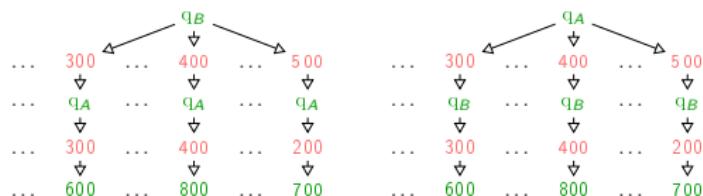
$\text{tot} = x + y$

These programs have two different interpretations (or semantics):

- ▶ *Static interpretation via formulae (Input / Output)*

$\text{price} = \text{price Albert} + \text{price Barnabé}$

- ▶ *Dynamic interpretation via strategies (Interactive process)*



Game semantics

Game semantics interpretation of more complicated formulae:

$$\text{average}(f) = \frac{f(0) + f(1)}{2}$$

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q

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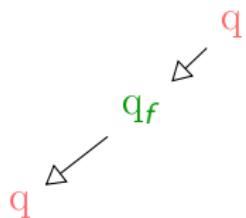
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$$q_f \swarrow q$$

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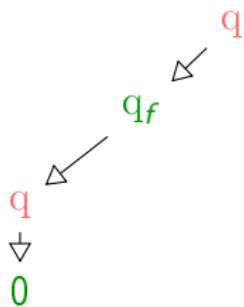
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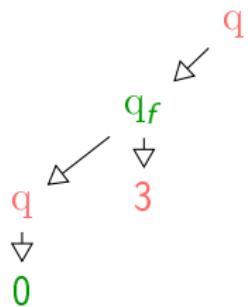
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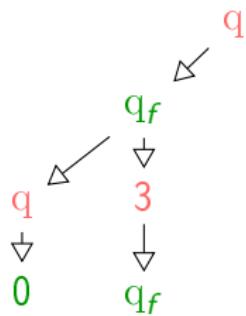
$$\text{average}(f) = \frac{3 + f(1)}{2} \quad \text{against} \quad f(x) = 3$$



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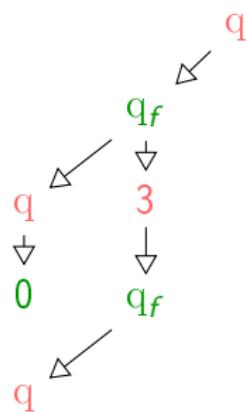
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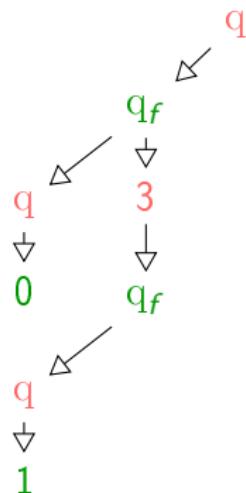
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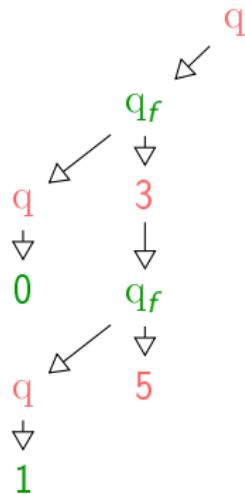
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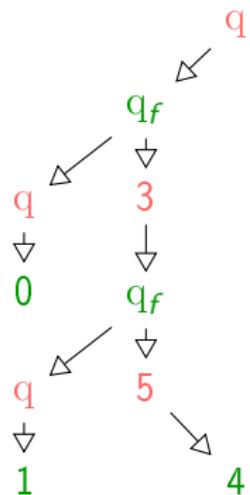
$$\text{average}(f) = \frac{3 + 5}{2} \quad \text{against} \quad f(x) = 5$$



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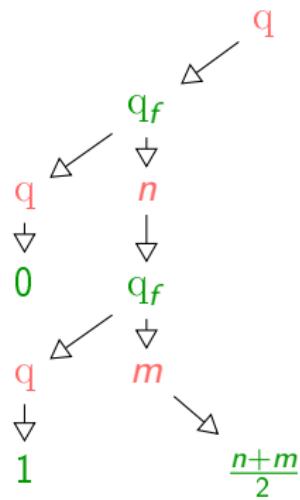
$$\text{average}(f) = 4 \quad \text{against} \quad f(x) = 2 \times x + 3$$



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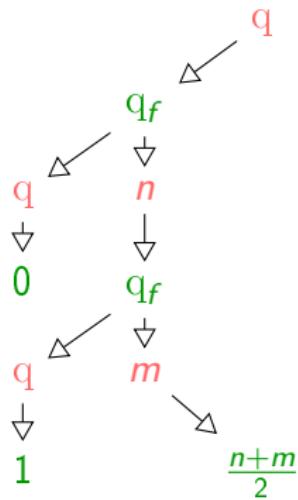
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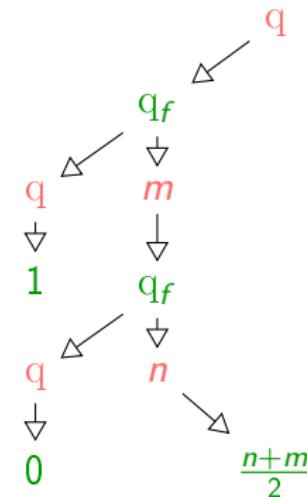
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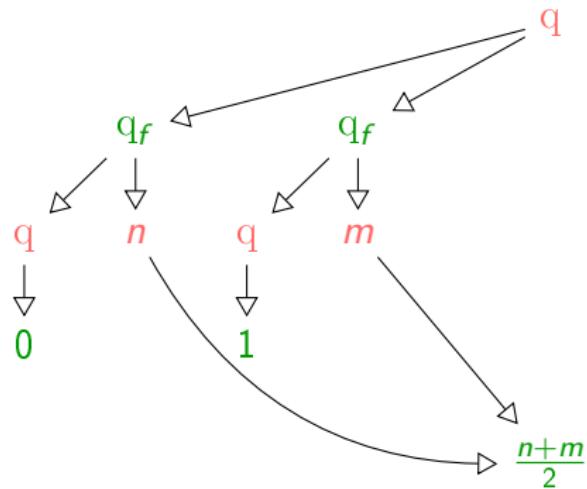
left then right



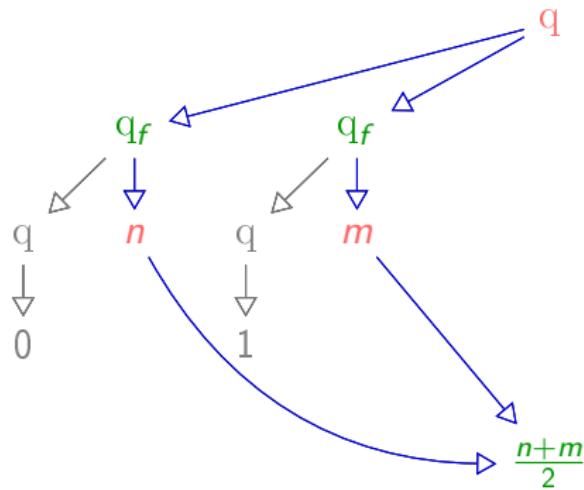
right then left

What if we want to compute in parallel?

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What if we want to compute in parallel?



Problem

Usual game semantics only manipulates sequential plays.

Is this sound?

For which strategies is this optimization correct?

Code	
<pre>func f1(x): return 2x + 3</pre>	
<pre>func f2(x): if(rand()) return 2 × x else return 0</pre>	
<pre>func f3(x): increment counter return counter</pre>	

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Correct **sequential** strategies are **innocent** and **well-bracketed**.

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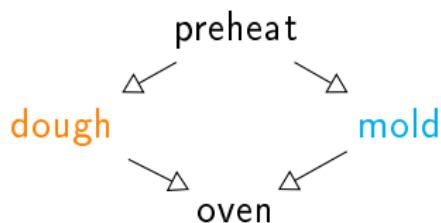
Correct **sequential** strategies are **innocent** and **well-bracketed**.

Problem

And what about *concurrent* strategies?

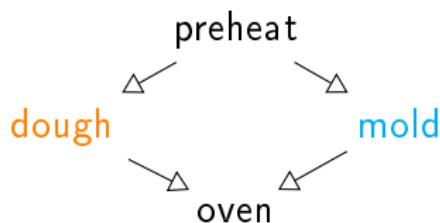
Partial orders or interleavings?

To represent concurrency:

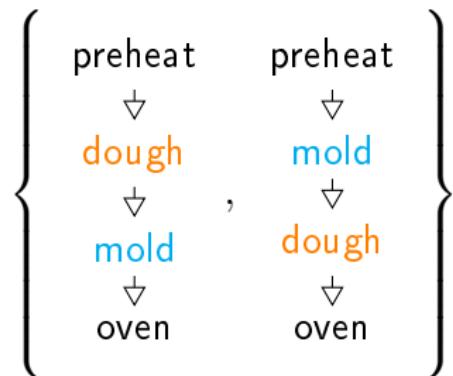


Partial orders or interleavings?

To represent concurrency:



vs.



partial order

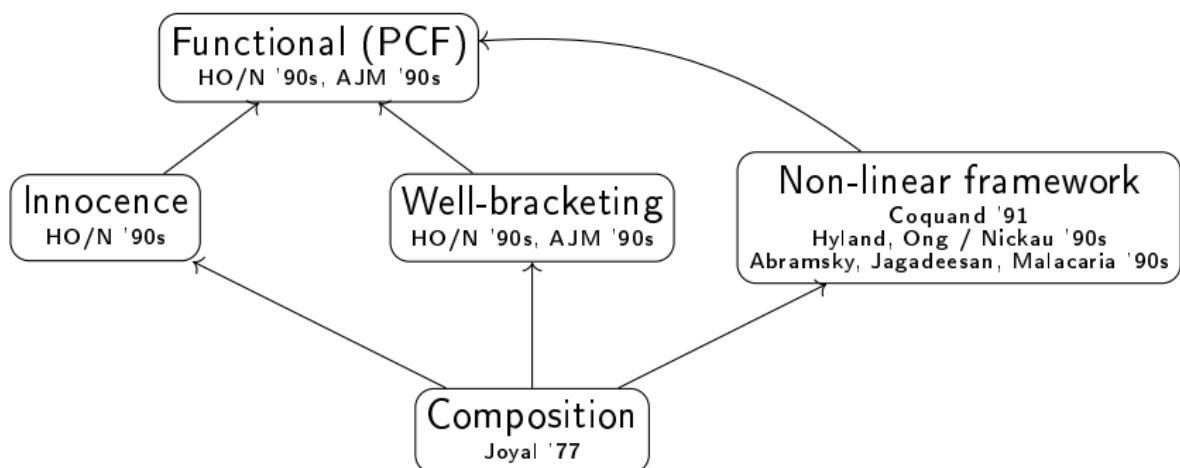
(true concurrency)

interleavings

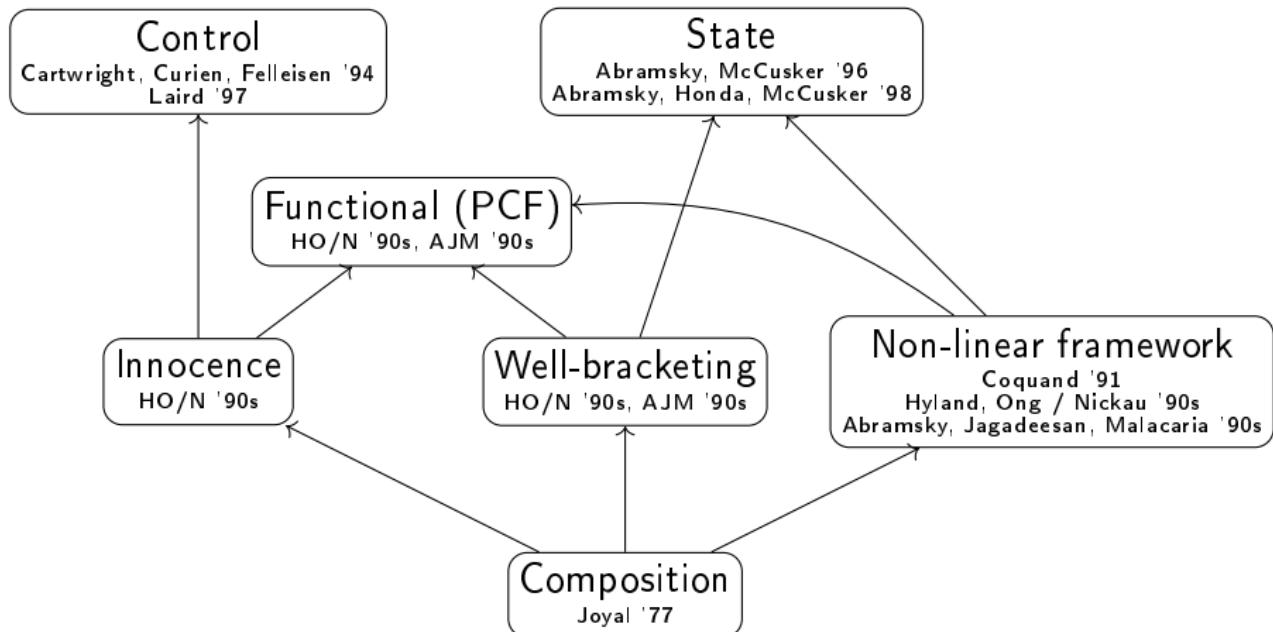
Game semantics: sequential strategies

Composition
Joyal '77

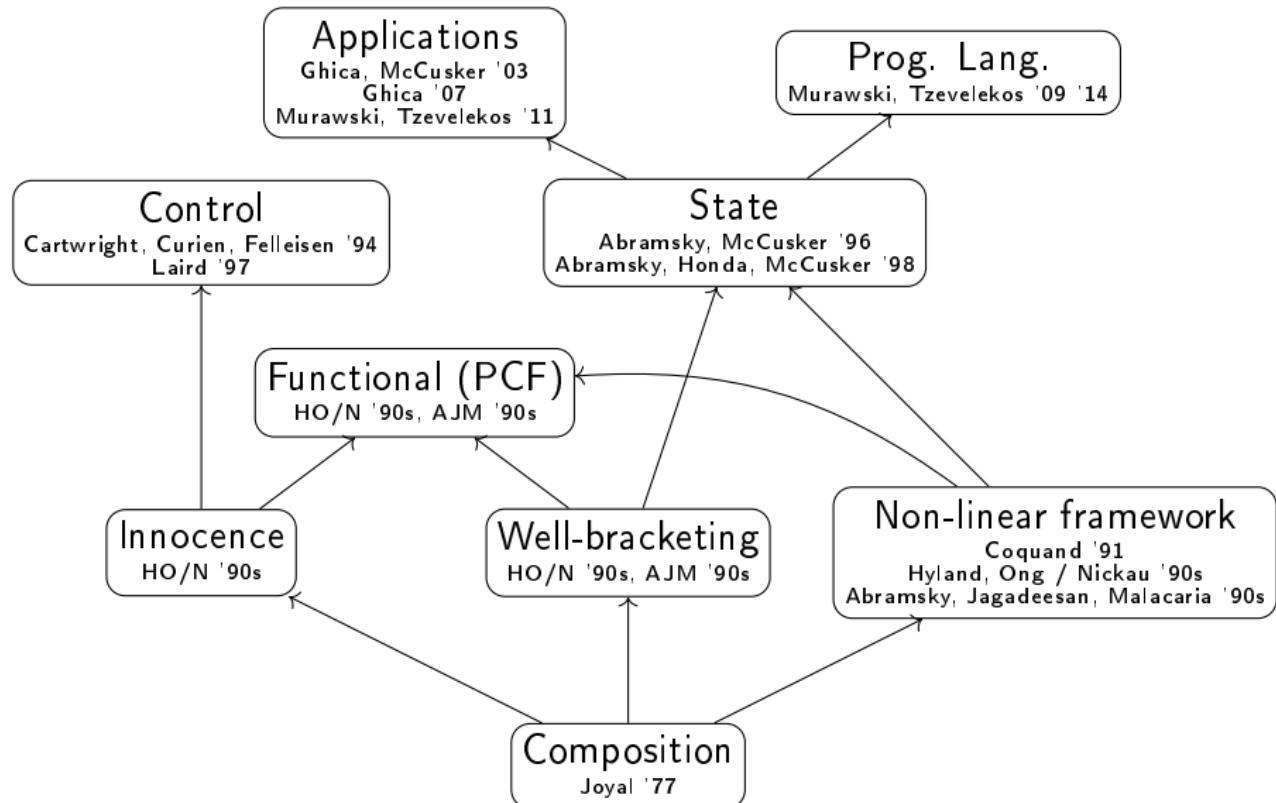
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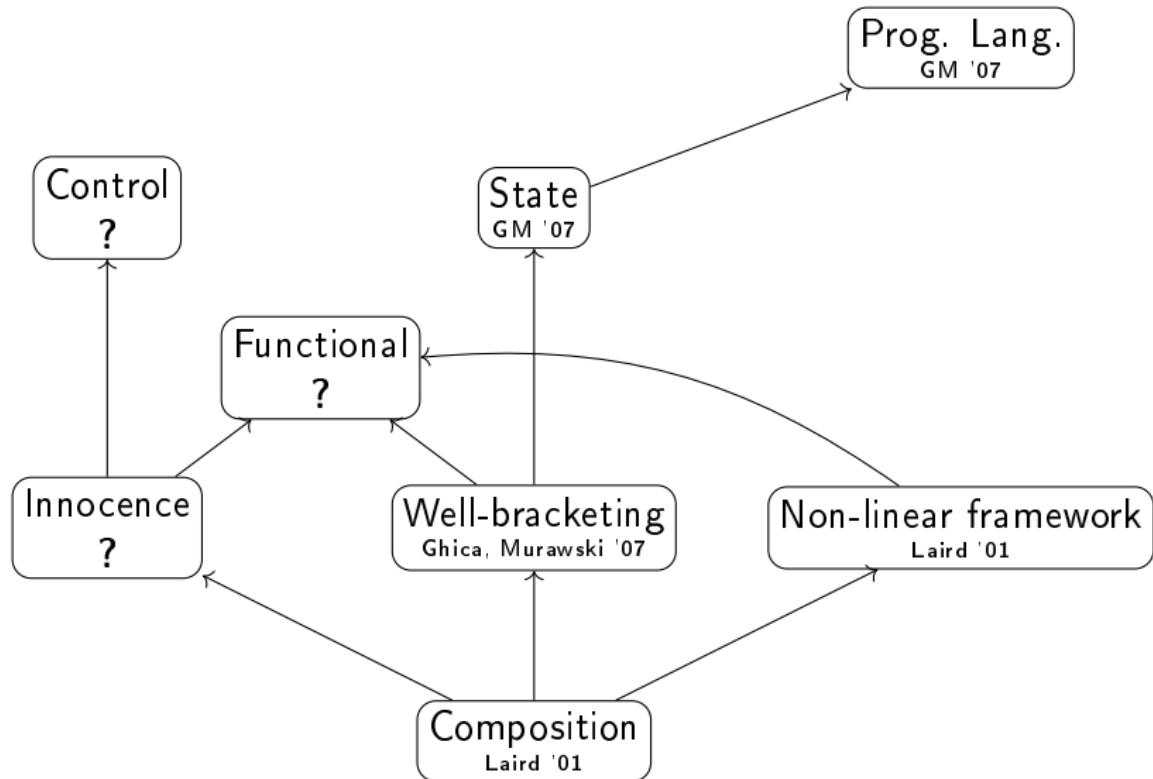
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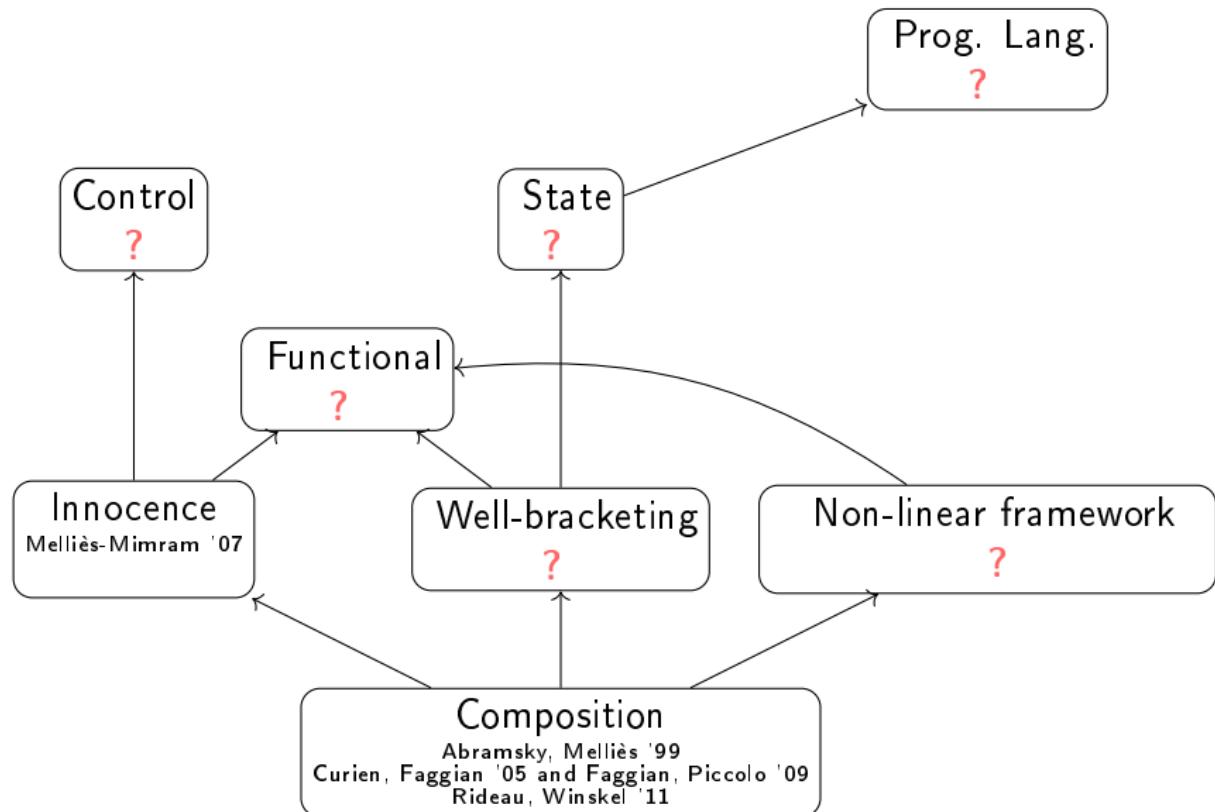
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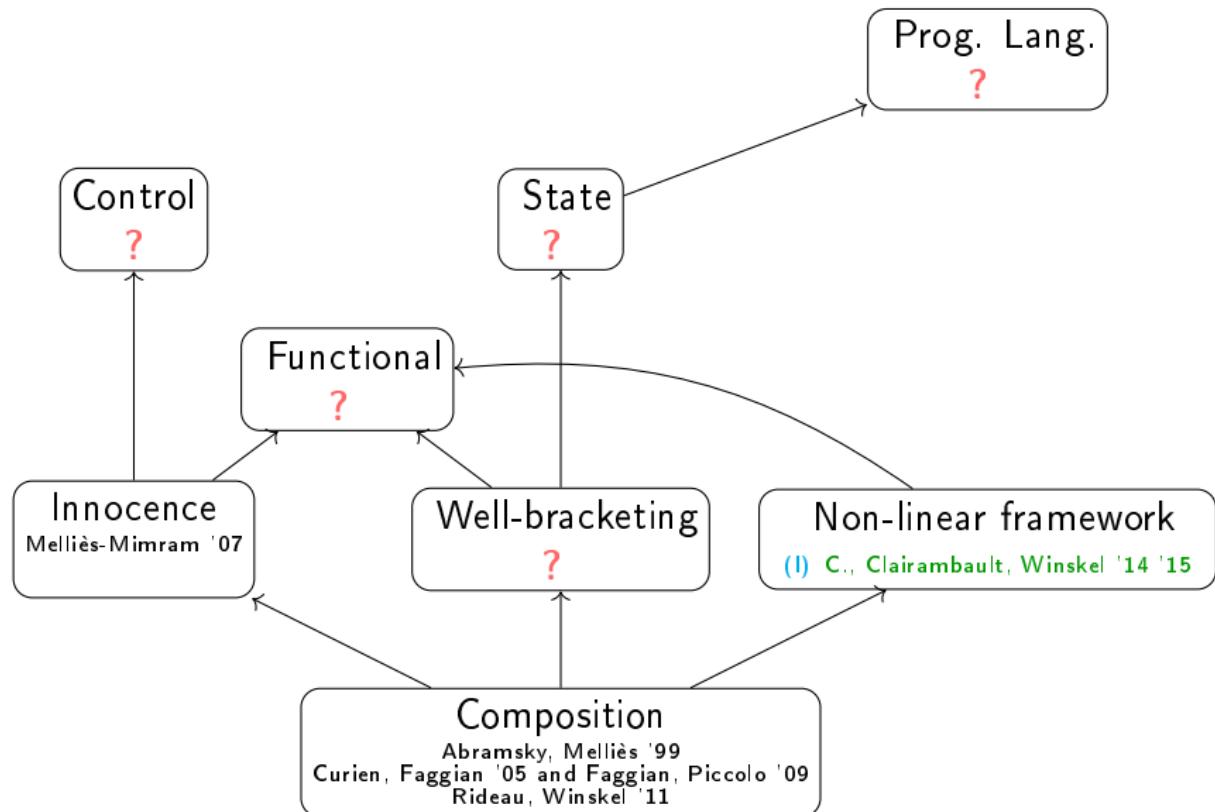
Game semantics: concurrent strategies via interleavings



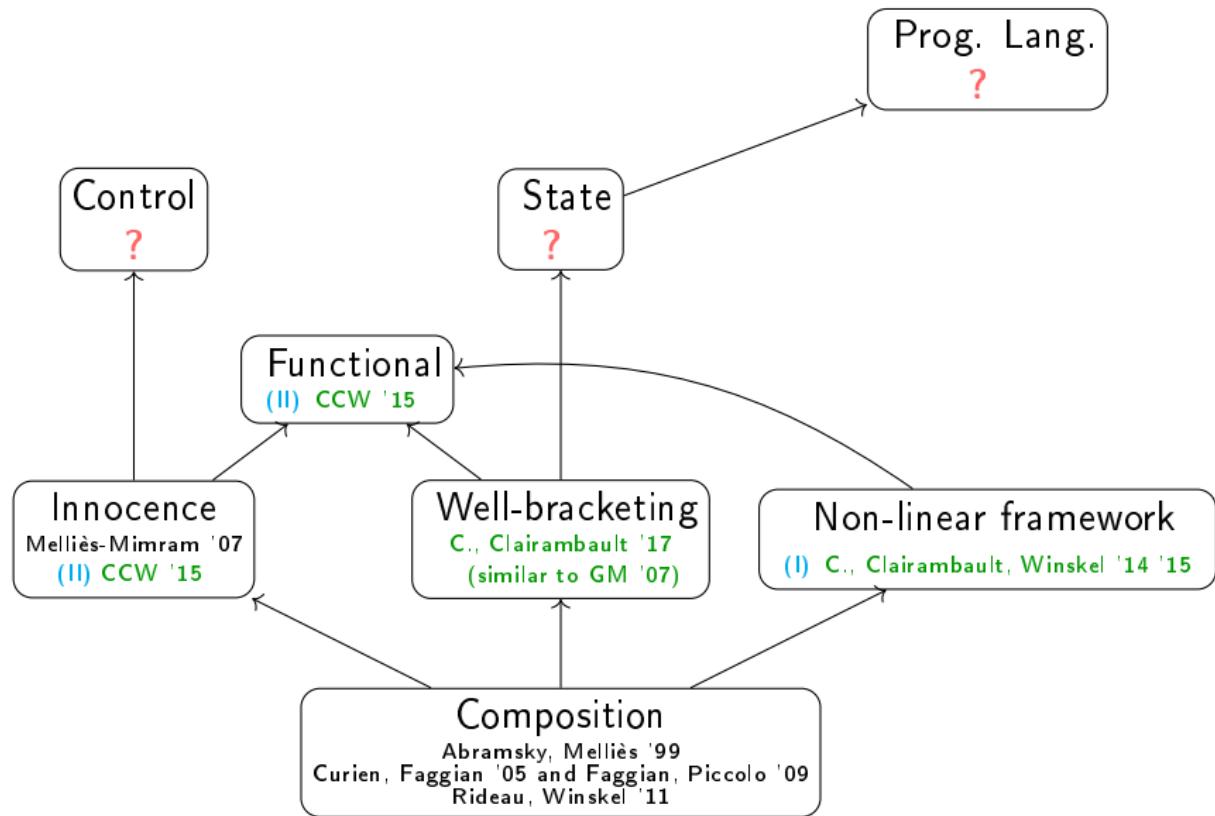
Game semantics: truly concurrent strategies



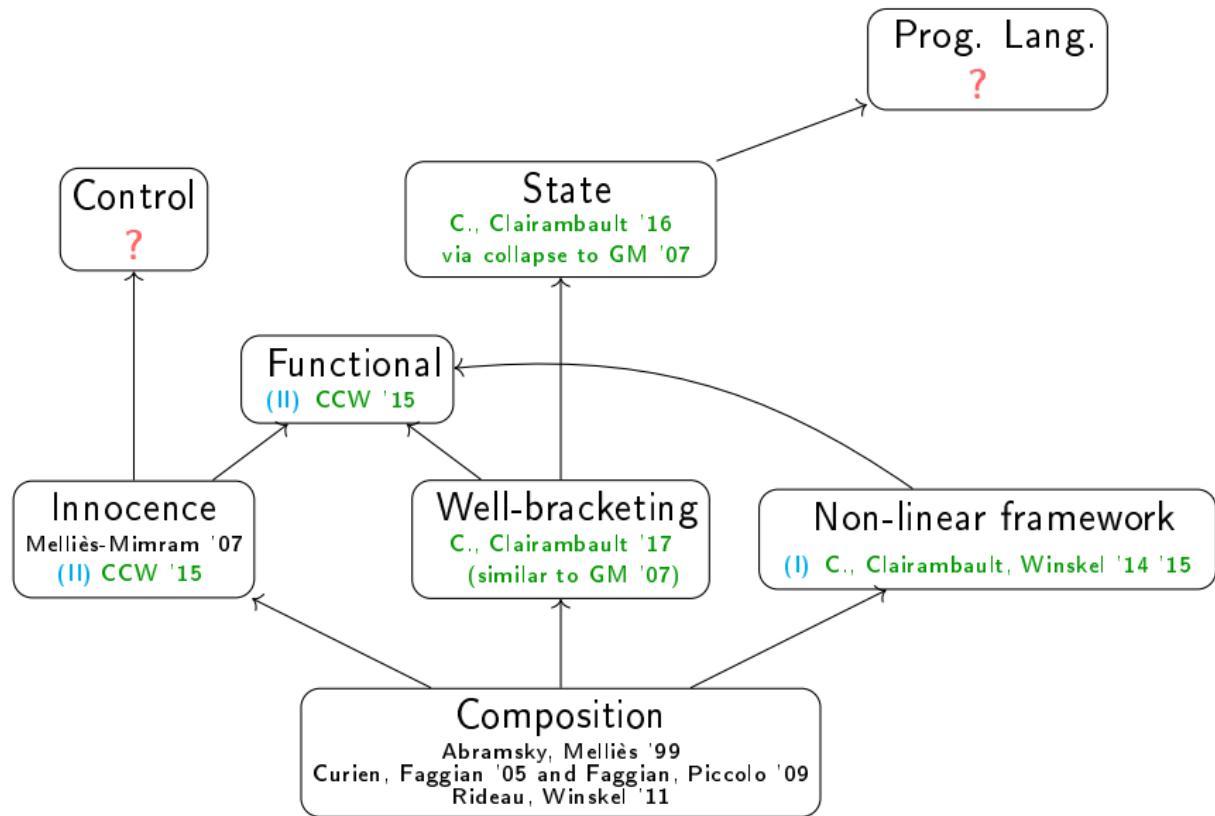
Game semantics: truly concurrent strategies



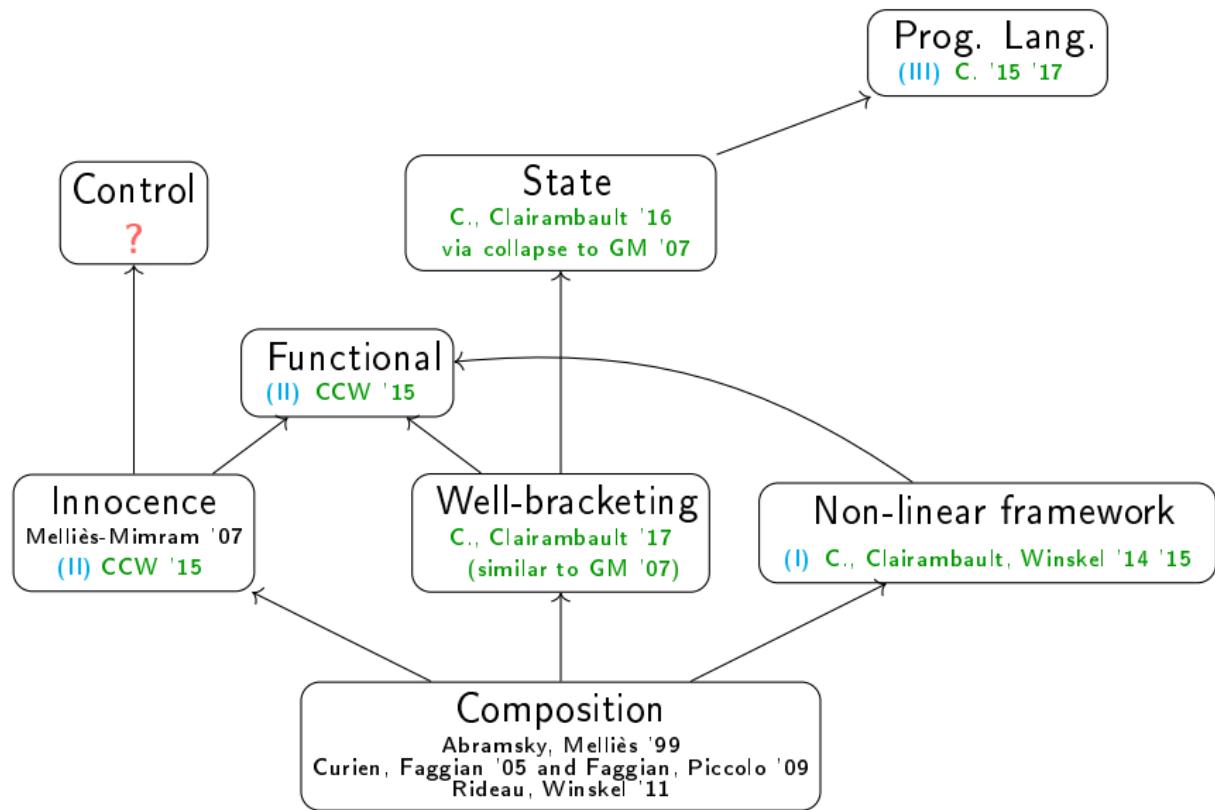
Game semantics: truly concurrent strategies



Game semantics: truly concurrent strategies



Game semantics: truly concurrent strategies



I. A FRAMEWORK FOR PARTIAL-ORDER STRATEGIES

Games

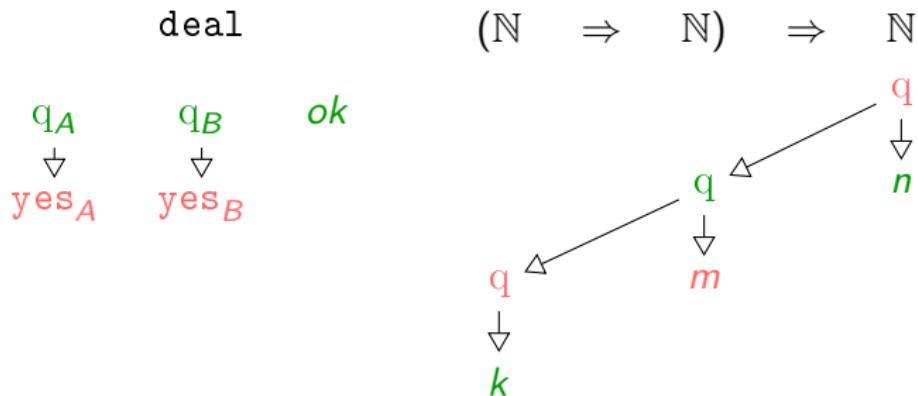
Game: partial order where each *move* has a polarity (*Opponent*, *Player*).

deal

q_A	q_B	<i>ok</i>
\downarrow	\downarrow	
<i>yes</i> _A	<i>yes</i> _B	

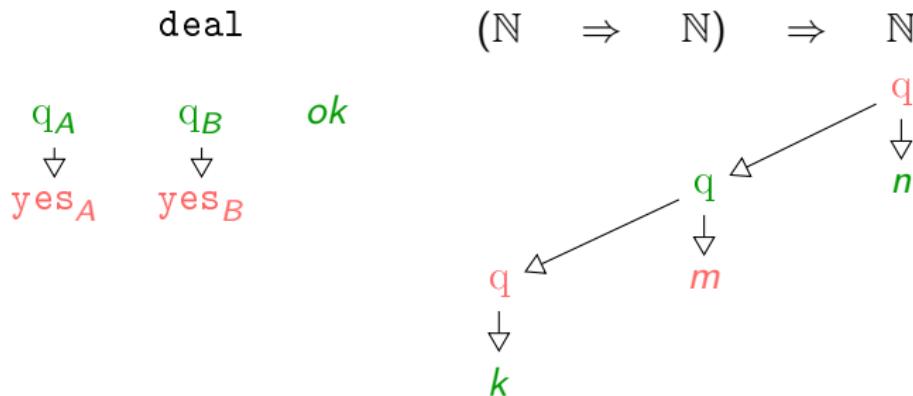
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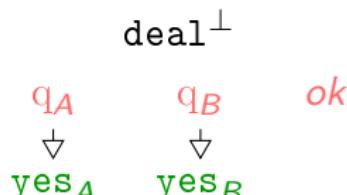


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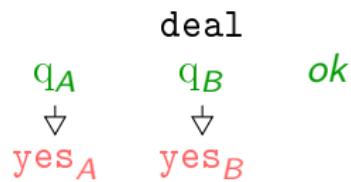
Game: partial order where each *move* has a polarity (*Opponent*, *Player*).



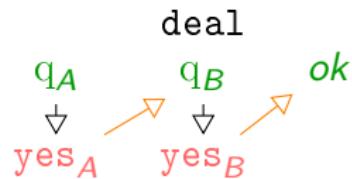
From a game A we build its dual A^\perp by reversing polarities:



(Deterministic) Strategies

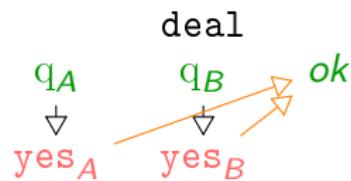


(Deterministic) Strategies



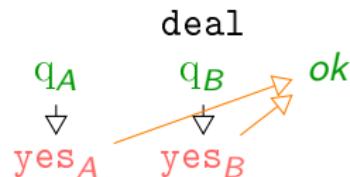
$\sigma_{A;B}$: Albert *then* Barnabé

(Deterministic) Strategies



$\sigma_{A \parallel B}$: Albert and Barnabé in parallel

(Deterministic) Strategies



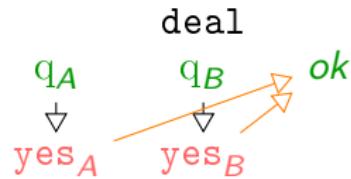
$\sigma_{A \parallel B}$: Albert and Barnabé in parallel

Definition

A **strategy** on (A, \leq_A) is a partial order $\sigma = (S, \leq_S)$ such that

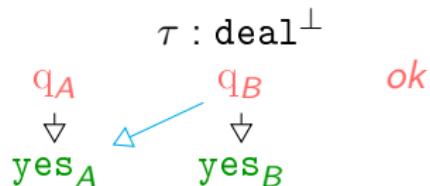
- ▶ $S \subseteq A$, and if $s \leq_A s'$ then $s \leq_S s'$ (rule-respecting)
- ▶ S only adds immediate links $\ominus \rightarrow \oplus$ (courteous)

(Deterministic) Strategies



$\sigma_{A \parallel B}$: Albert and Barnabé in parallel

Strategies on A^\perp represent **counter-strategies**:



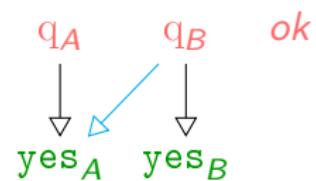
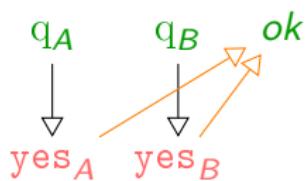
Interaction of strategies

Given a strategy on A and one on A^\perp , how do they interact?

$$\sigma_{A \parallel B} : \text{deal}$$

$$\sigma_{A \parallel B} \circledast \tau$$

$$\tau : \text{deal}^\perp$$



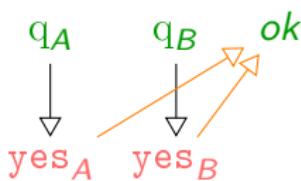
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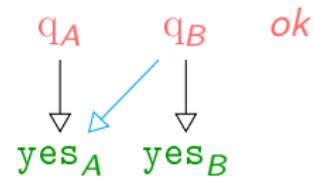
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$$q_A \quad q_B$$



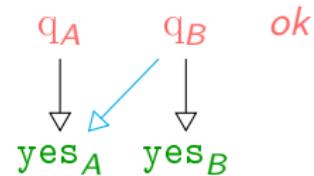
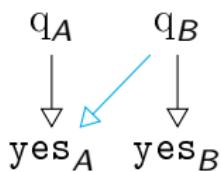
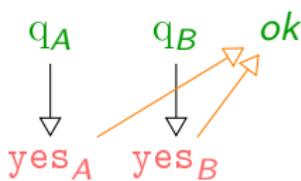
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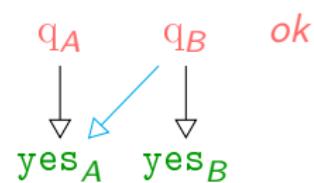
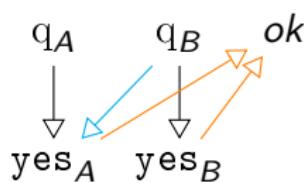
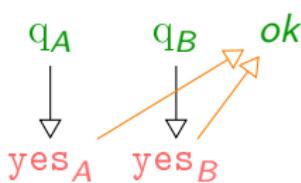
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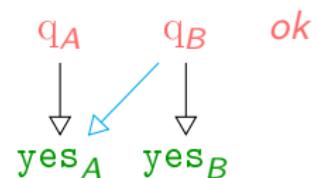
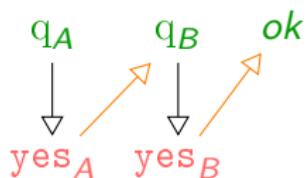
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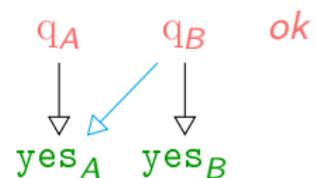
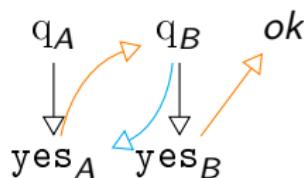
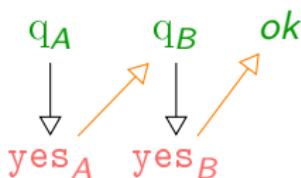
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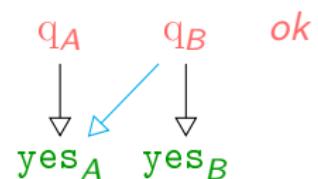
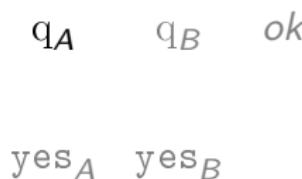
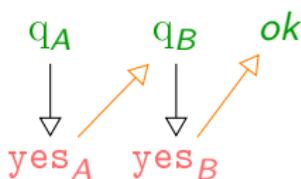
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$\tau : \text{deal}^\perp$



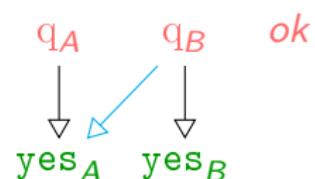
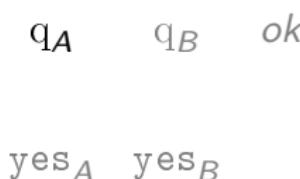
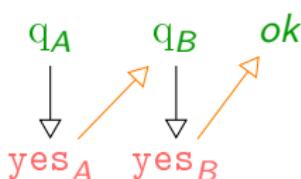
Interaction of strategies

Given a strategy on A and one on A^\perp , how do they interact?

$\sigma_{A;B} : \text{deal}$

$\sigma_{A;B} \circledast \tau$

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Definition

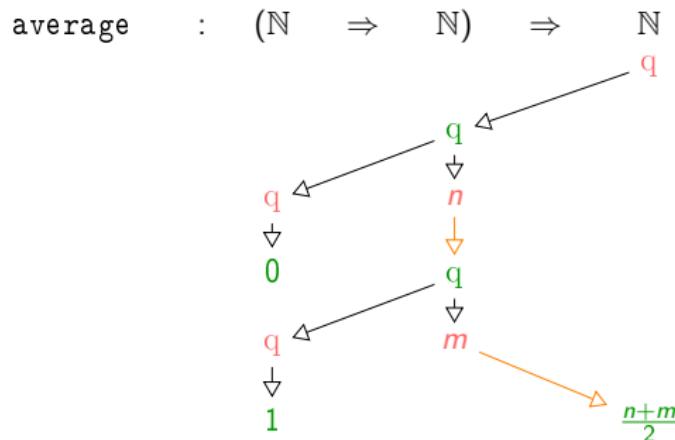
The interaction of (S, \leq_S) and (T, \leq_T) is obtained from

$$(S \cap T, (\leq_S \cup \leq_T)^*)$$

by removing events occurring in a causal loop.

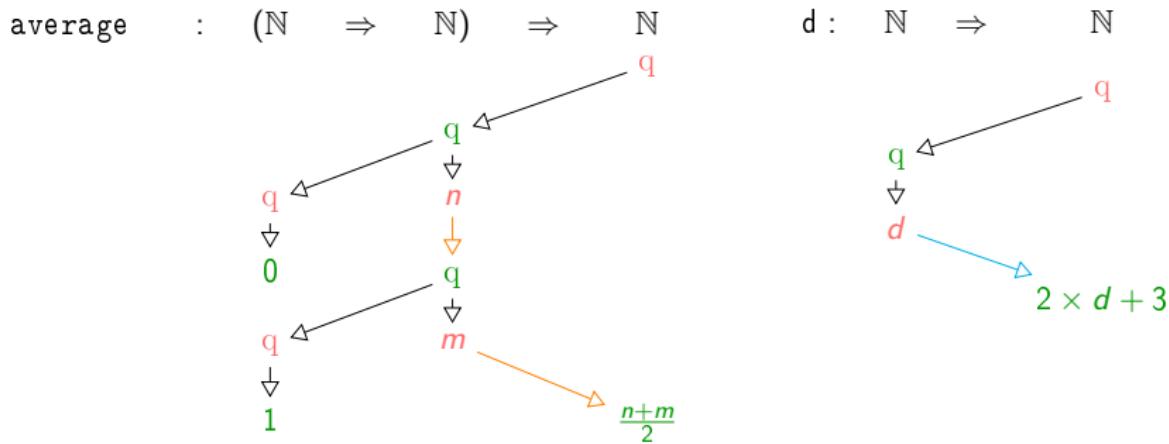
Composition

Interaction is used to compute application and composition of strat.



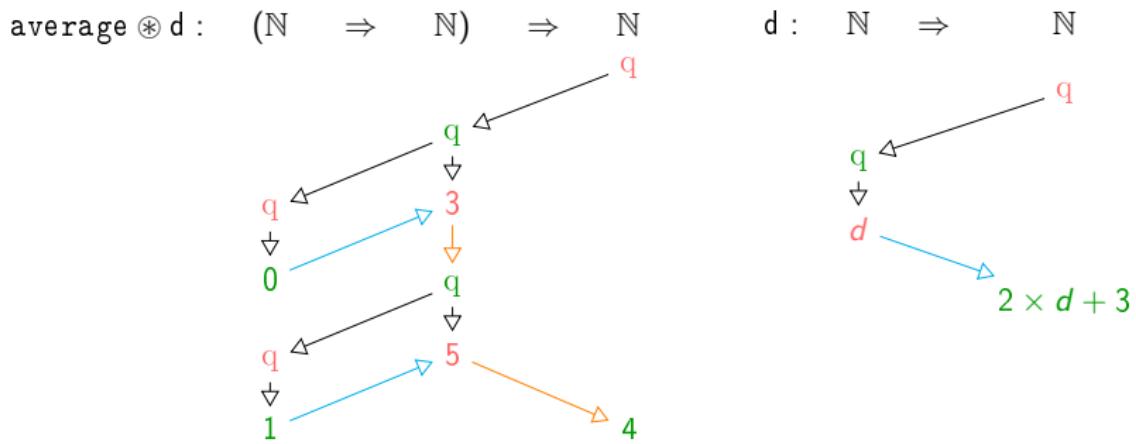
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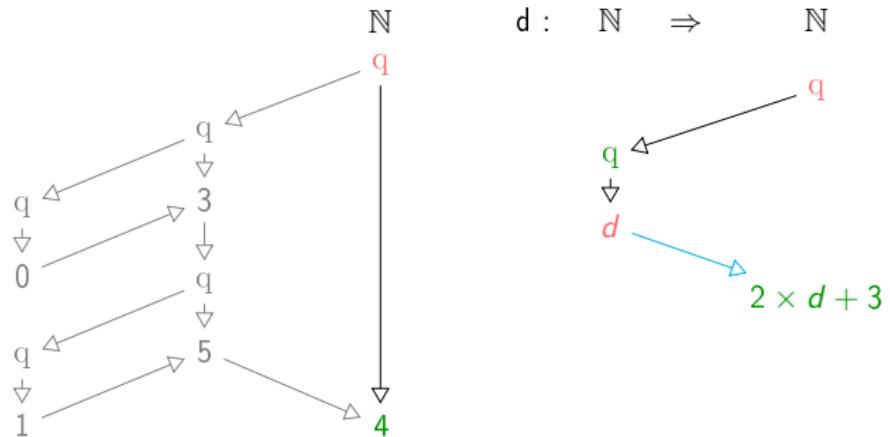
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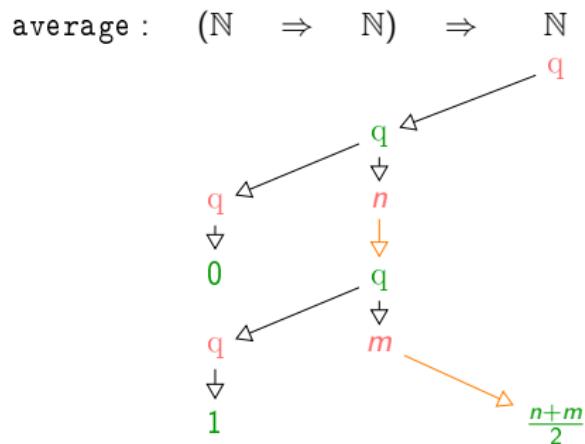
Interaction is used to compute application and composition of strat.

average \odot d :



Linearity & duplication

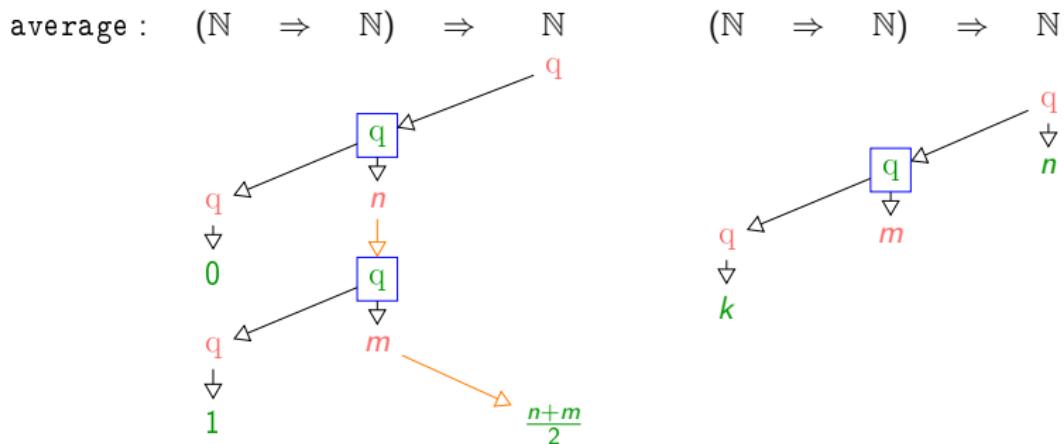
Our average strategy is **not** a strategy!



Linearity & duplication

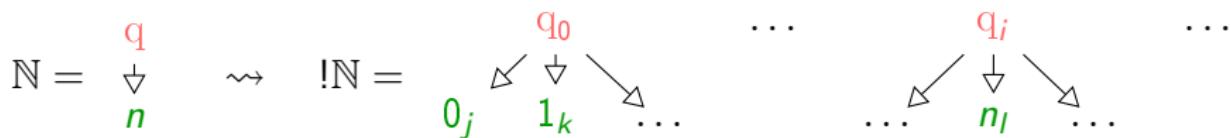
Our average strategy is **not** a strategy! It is not linear:

$$\text{average}(f) = \frac{f(0) + f(1)}{2}$$



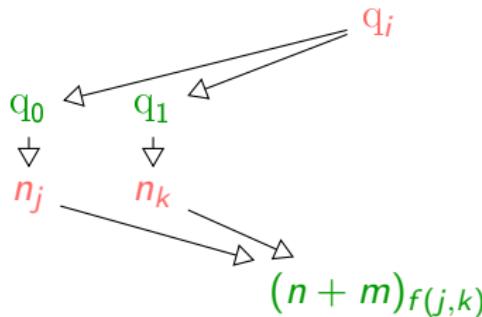
Copy indices & the game !A

To solve this problem, we play on expanded arenas.



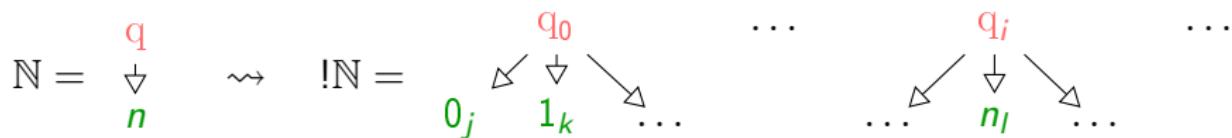
A (parallel) strategy implementing $d(x) := x + x$ becomes:

$$!(\mathbb{N} \Rightarrow \mathbb{N})$$



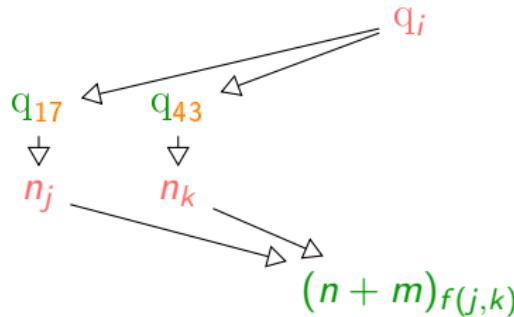
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A (parallel) strategy implementing $d(x) := x + x$ becomes:

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The cartesian-closed category CHO

We get a cartesian closed category (CCC):

Theorem (C., Clairambault, Winskel)

The following structure CHO is a CCC:

Objects Games A (which are alternating forests)

Morphisms Strategies uniform (and single-threaded) on $!(A \Rightarrow B)$.

(Usual sequential innocent HO games form a subcategory of CHO)

~> Rich semantic framework to interpret concurrent higher-order programs.

What was swept under the rug

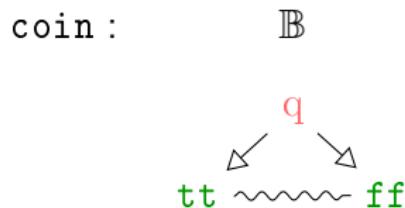
- ▶ Manage to identify strategies up to **choice of copy indices**.
~~> A notion of **weak isomorphism**
- ▶ Define a notion of **uniformity** (when a strategy is blind to Opponent indices).
~~> Strategies become equipped with **symmetry**.
- ▶ Show that, on uniform strategies, weak isomorphism is a **congruence**.
~~> Proof of a **bipullback property** of interaction.

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- ▶ Show that, on uniform strategies, weak isomorphism is a **congruence**.
~~ Proof of a **bipullback property** of interaction.
- ▶ Representation of **nondeterminism** in strategies
~~ Addition of **essential events**.

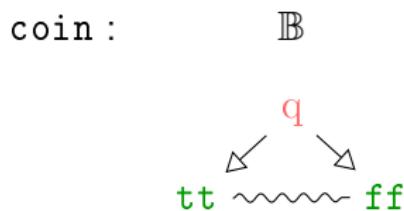
Nondeterminism via event structures

Nondeterminism can be represented via a conflict relation:



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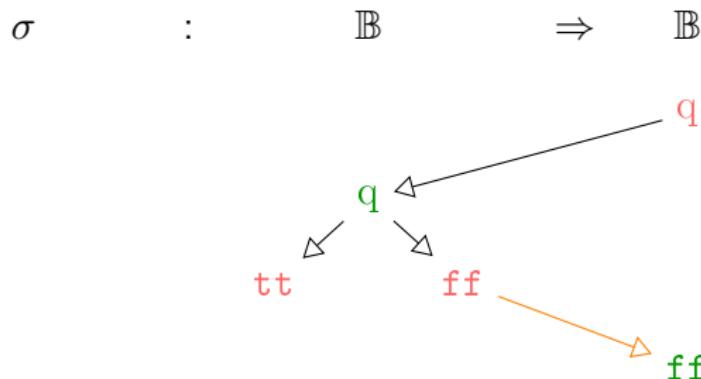


Definition

An **event structure** is a partial order E equipped with a binary relation (representing conflict) satisfying some axioms.

Hidden divergences via essential events

But, nondeterminism and composition require some care:

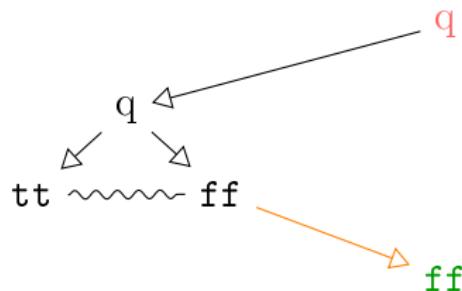


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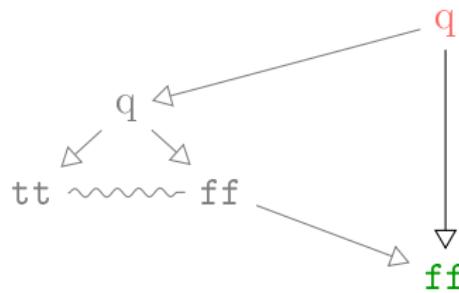


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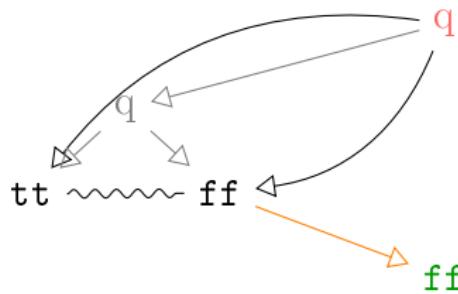
$\sigma \odot \text{coin}$ coincides with ff !

Hidden divergences via essential events

But, nondeterminism and composition require some care:

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$\sigma \odot \text{coin}$ coincides with ff !

$\tau \odot \sigma$ retains more information than $\tau \odot \sigma$ (must adequacy)

II. ORDER OF EVALUATION AND INNOCENCE

PCF and its interpretations

We can interpret PCF in CHO:

$$A, B ::= \mathbb{N} \mid \textcolor{brown}{B} \mid \textcolor{teal}{\text{proc}} \mid A \Rightarrow B \quad (\text{PCF types})$$

$$\begin{aligned} M, N ::= & \textcolor{brown}{\text{tt}} \mid \textcolor{brown}{\text{ff}} \mid \text{if } M \ N_1 \ N_2 \mid \textcolor{blue}{()} \mid M; N \\ & \mid x \mid \lambda x. M \mid MN \mid Y \mid \dots \end{aligned} \quad (\text{PCF terms})$$

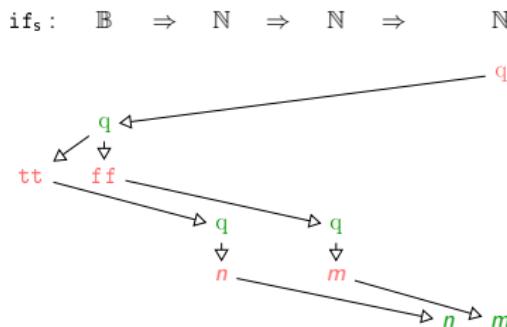
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Two interpretations of if in CHO:



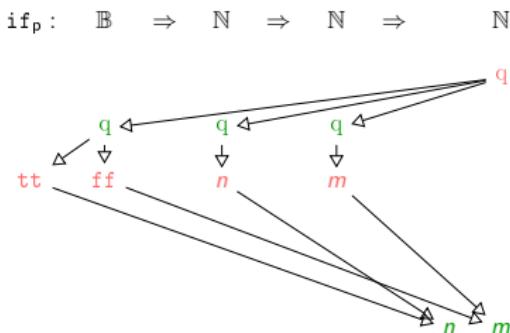
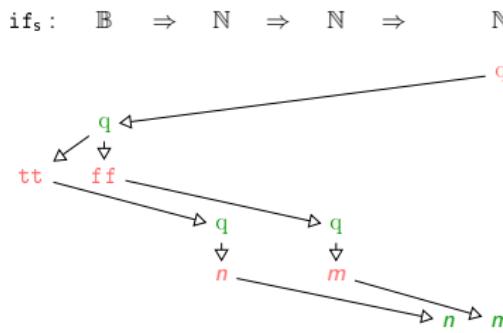
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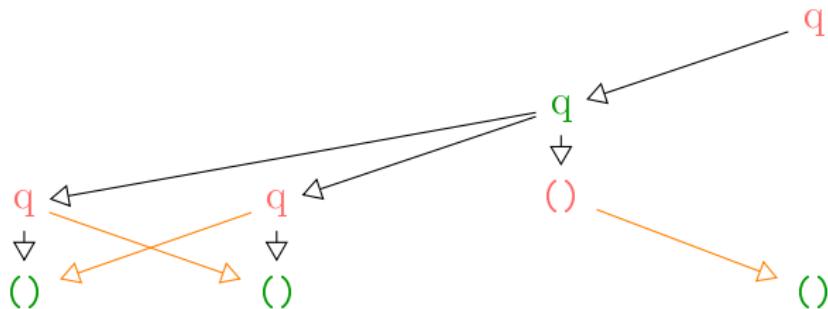
Two interpretations of if in CHO:



These two interpretations are indistinguishable by terms of PCF.

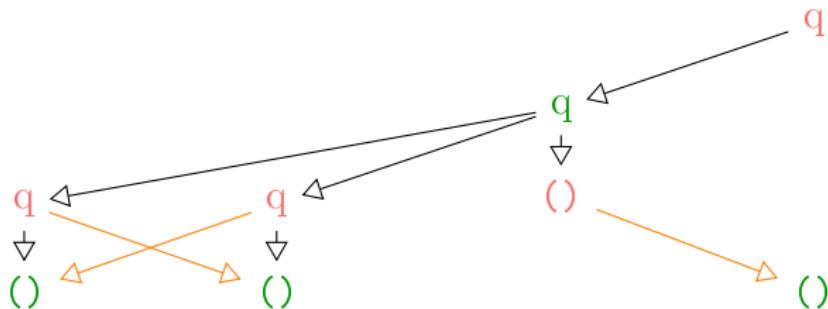
Sometimes parallel if just is not the same

sync : (proc ⇒ proc ⇒ proc) ⇒ proc



Sometimes parallel if just is not the same

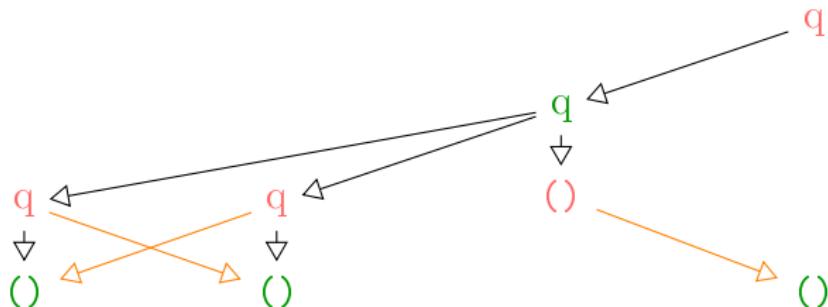
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sync can distinguish both implementations of if.

Sometimes parallel if just is not the same

sync : (proc \Rightarrow proc \Rightarrow proc) \Rightarrow proc



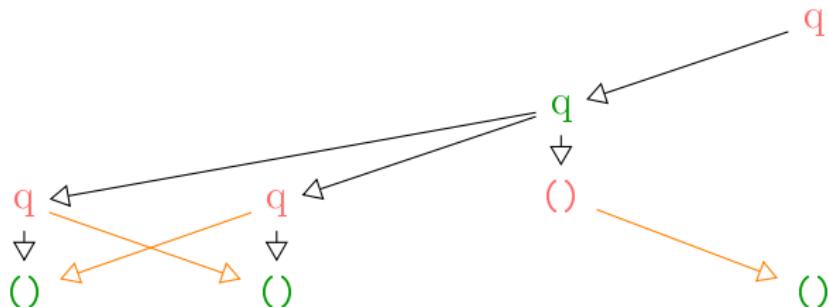
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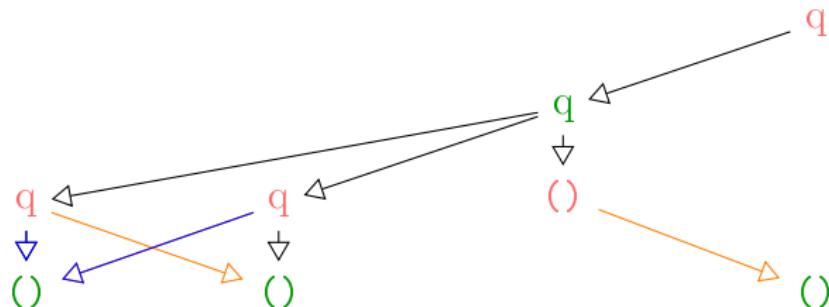
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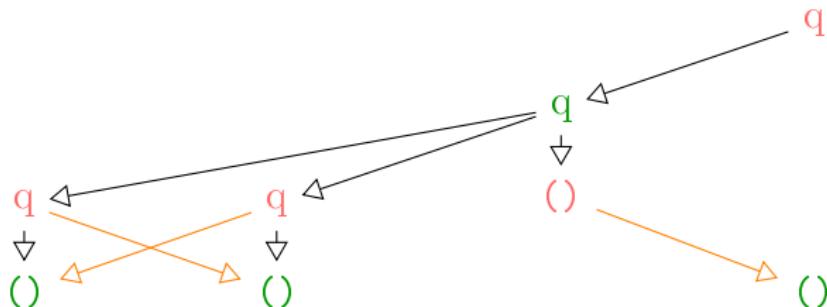
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Banning such patterns gives a notion of **concurrent innocence**.

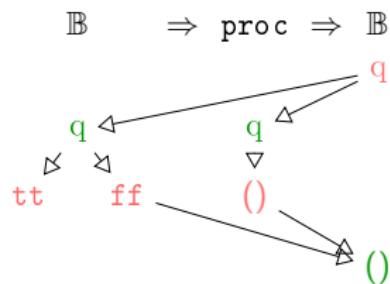
Finite definability

A PCF strategy is an innocent and well-bracketed strategy.

Theorem (Finite definability)

If σ is PCF strategy, there exists a term M of PCF such that

$\llbracket M \rrbracket$ and σ are indistinguishable by PCF strategies.



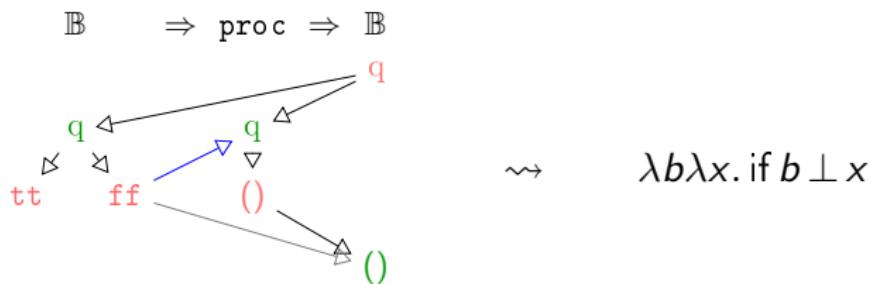
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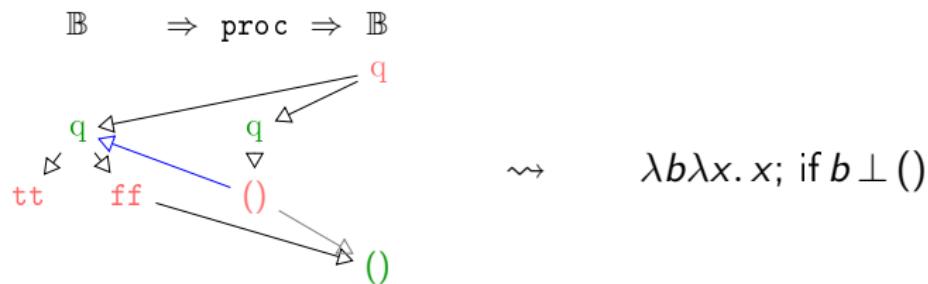
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What was swept under the rug 2

- ▶ Innocence and well-bracketing:
 - ▶ Stability under **composition**.
 - ~~> **Forking** lemma.
 - ▶ Requires the addition of **visibility** (and **locality**).
 - ~~> Control **interferences** between **Player** threads

What was swept under the rug 2

- ▶ Innocence and well-bracketing:
 - ▶ Stability under **composition**.
 - ~~ **Forking** lemma.
 - ▶ Requires the addition of **visibility** (and **locality**).
 - ~~ Control **interferences** between **Player** threads
- ▶ Finite definability:
 - ▶ Define a notion of **finite** strategies.
 - ~~ **Reduced form** (P-view “dag”)
 - ▶ **Factorisation** theorem for higher-order strategies.
 - ~~ First-order / λ -calculus

III. WHAT LIES BEYOND PCF?

What real concurrent programs are made of

```
while(1) {  
    while (canWrite == 0);  
    x = produce();  
    value := x;  
    canRead := 1; canWrite := 0;  
}
```

```
while(1) {  
    while (canRead == 0);  
    x = value;  
    consume(x);  
    canRead := 0; canWrite := 1;  
}
```

↔ loops, conditionals, function calls, shared memory.

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in PCF

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value := 1;    || f ← canRead;  
canRead := 1;  || x ← value ;
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What real concurrent programs are made of

```
value := 1;    || f ← canRead;  
canRead := 1;  || x ← value ;
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Expectation: $f = 1$ implies $x = 1$

↔ loops, conditionals, function calls, shared memory

Running concurrent programs on a processor

```
value := 1;  
canRead := 1;
```

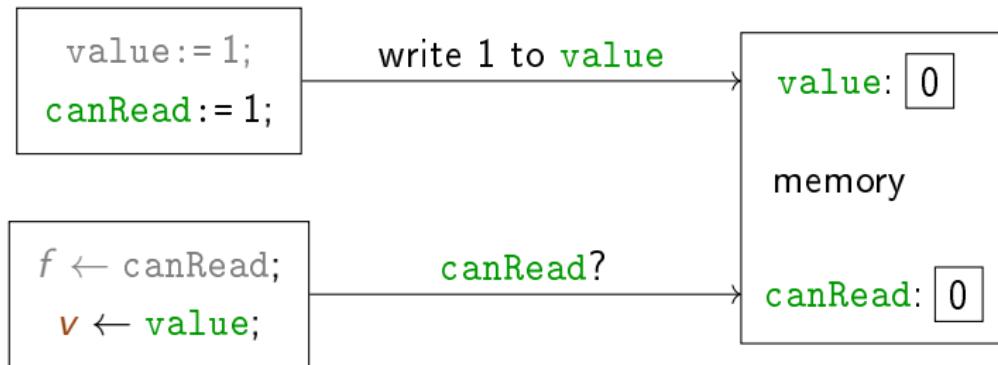
```
f ← canRead;  
v ← value;
```

value:

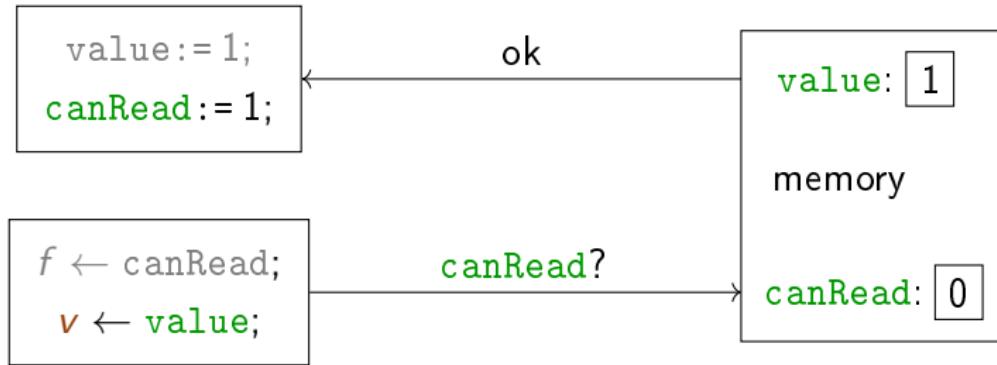
memory

canRead:

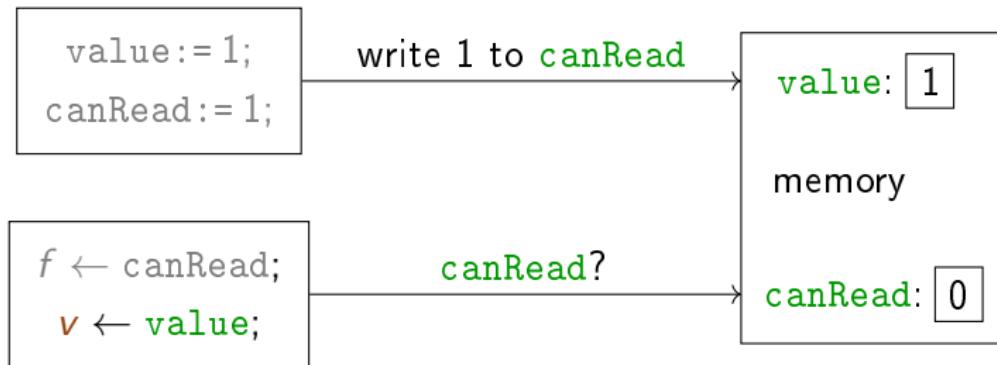
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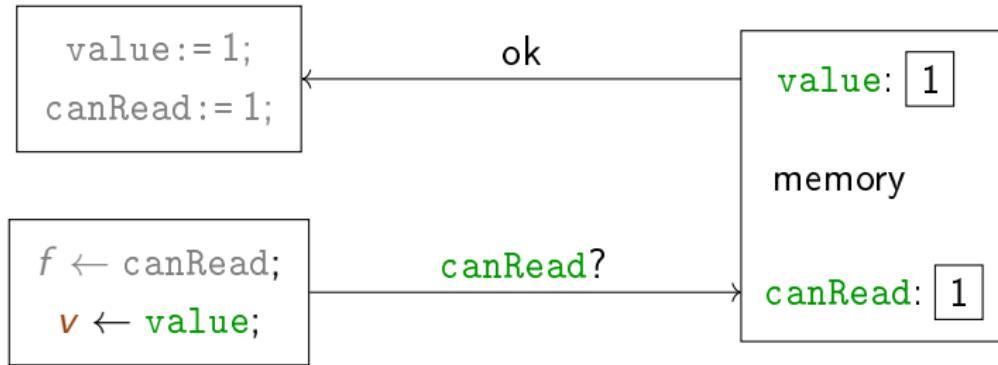
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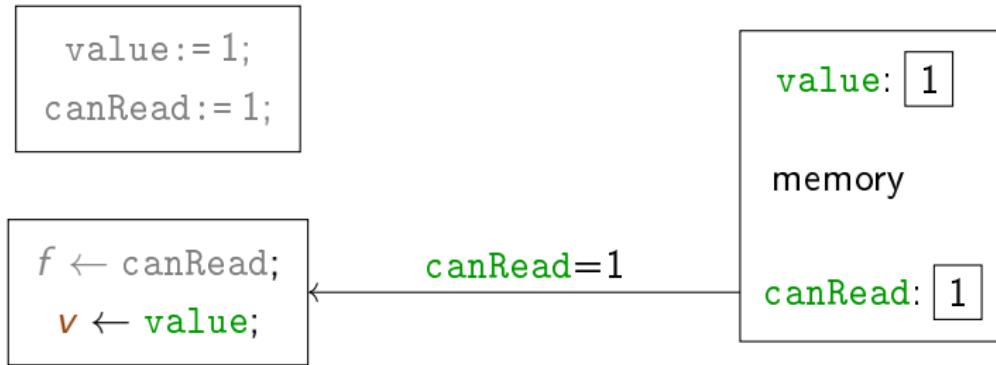
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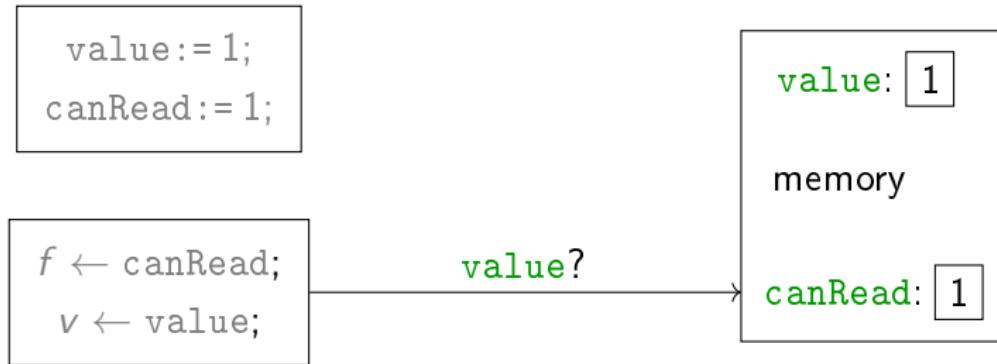
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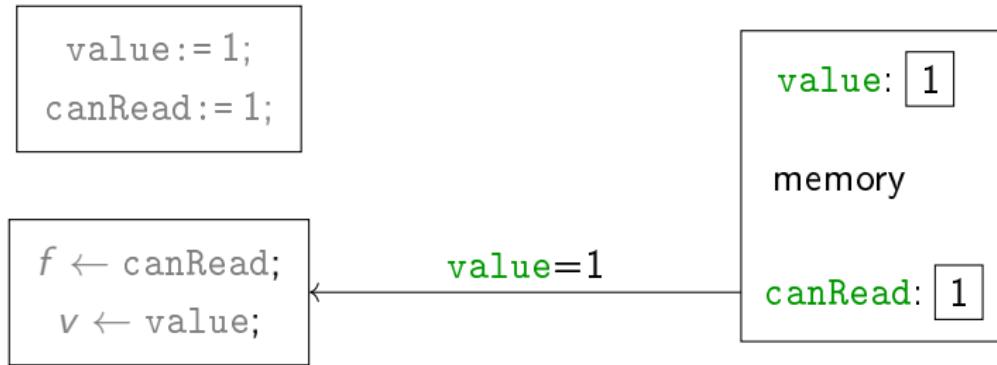
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Running concurrent programs on a processor

```
value := 1;  
canRead := 1;
```

```
f ← canRead;  
v ← value;
```

value:

memory

canRead:

Several executions, but never $f = 1$ and $v = 0$.

Weak memory models

On some processors, we get instead:

```
value := 1;  
canRead := 1;
```

```
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v ← value;
```

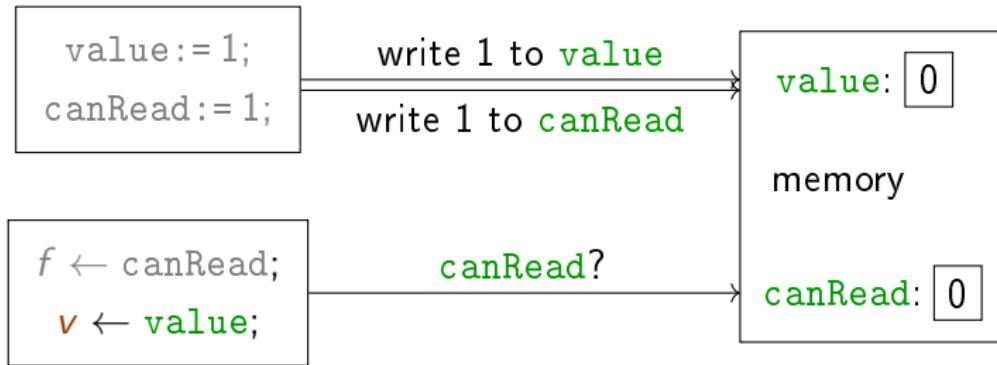
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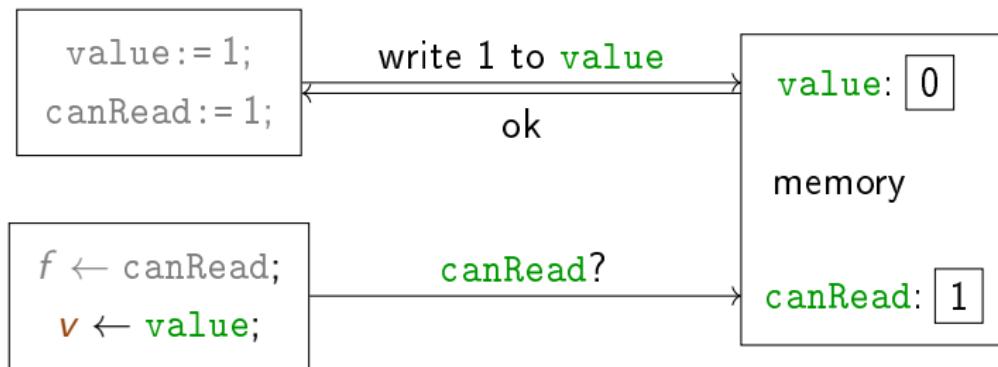
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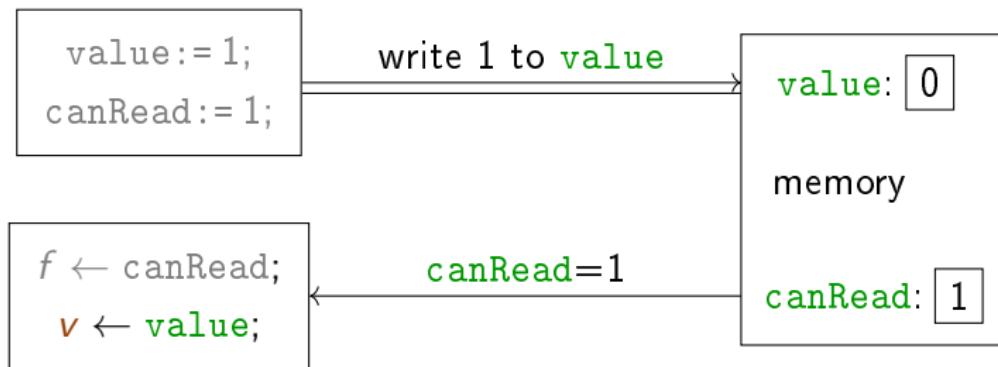
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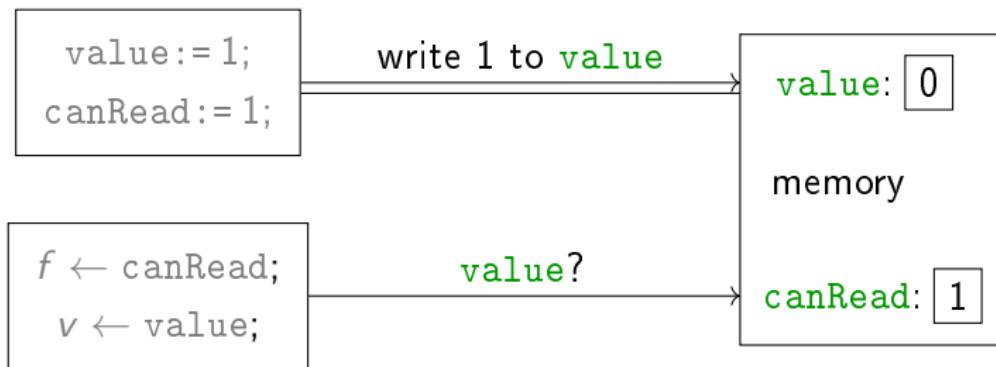
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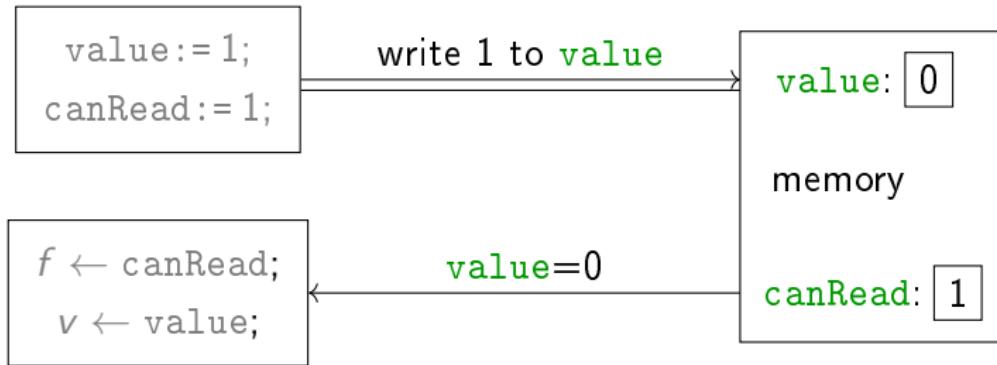
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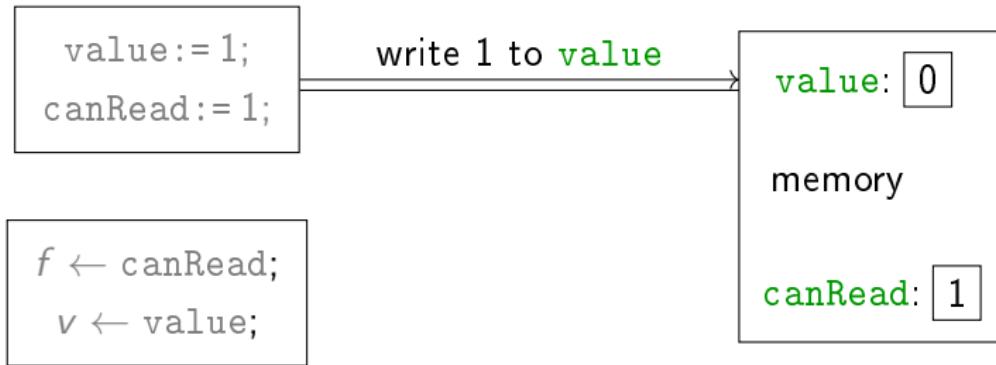
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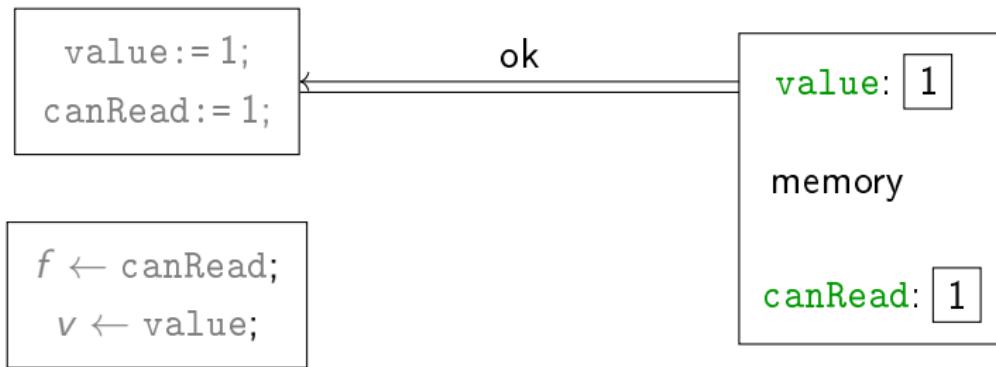
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Outcome depends on the architecture (TSO, PSO, ARM)...

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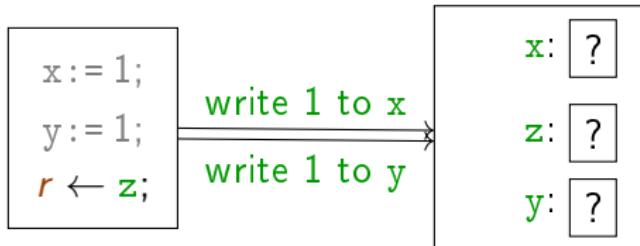
Goal: Model *denotationally* such **complex** reorderings.

Taking a closer look

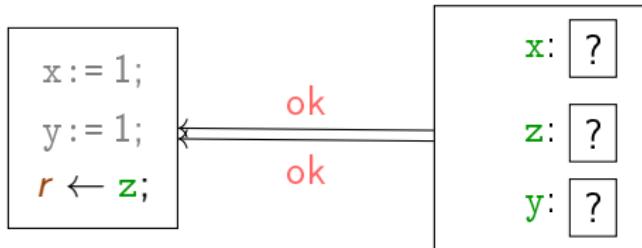
```
x := 1;  
y := 1;  
r ← z;
```

x:	?
z:	?
y:	?

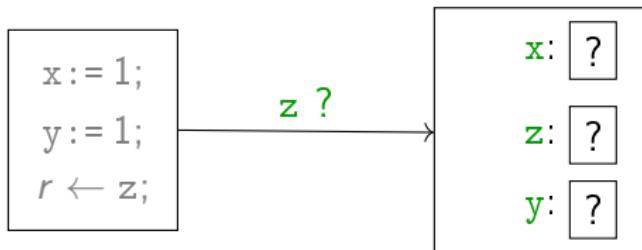
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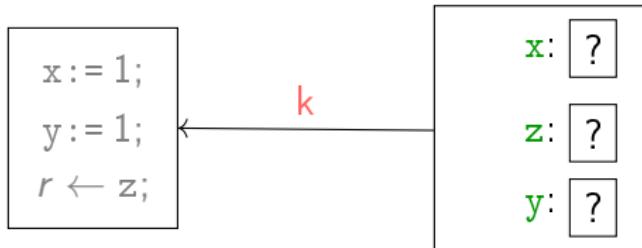
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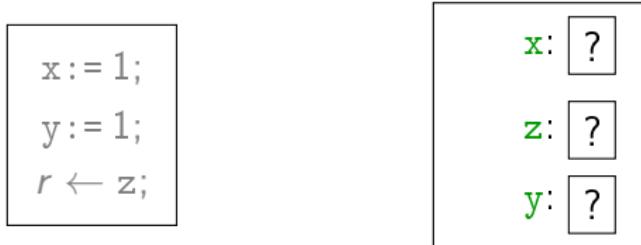
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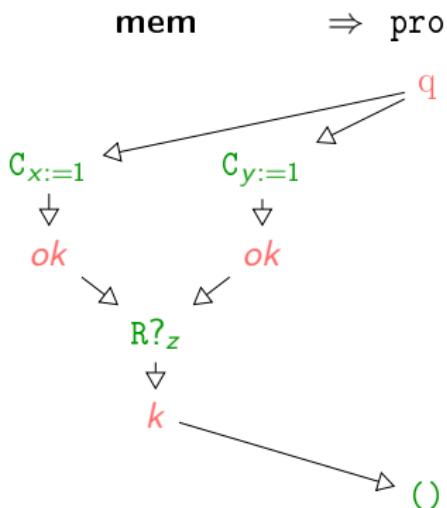
Taking a closer look



Taking a closer look



The behaviour of the thread corresponds to a strategy:



Modelling weak memory models

An old motto (Reynolds, implemented in game semantics by Abramsky and McCusker)

The behaviour of imperative programs can be described as the interaction of a (deterministic) pure functional program interacting with a memory.

Modelling weak memory models

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The behaviour of concurrent programs can be described as the interaction of (deterministic) pure functional threads interacting with a (nondeterministic) memory.

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Individual programs are interpreted as parallel strategies

$$[\![t]\!]: \mathbf{mem} \Rightarrow \mathbf{proc}$$

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In particular

$$[\![t; u]\!] = \mathbf{seq} [\![t]\!] [\![u]\!]$$

$$\mathbf{seq} : (\mathbf{mem} \Rightarrow \mathbf{proc}) \Rightarrow (\mathbf{mem} \Rightarrow \mathbf{proc}) \Rightarrow (\mathbf{mem} \Rightarrow \mathbf{proc})$$

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An old motto (Reynolds, implemented in game semantics by Abramsky and McCusker)

The behaviour of concurrent programs can be described as the interaction of (deterministic) pure functional threads interacting with a (nondeterministic) memory.

Individual programs are interpreted as parallel strategies

$$[t] : \mathbf{mem} \Rightarrow \mathbf{proc}$$

In particular

$$[t; u] = \mathbf{seq} [t] [u]$$

$$\mathbf{seq} : \mathbf{mem} \Rightarrow (\mathbf{mem} \Rightarrow \mathbf{proc}) \Rightarrow (\mathbf{mem} \Rightarrow \mathbf{proc}) \Rightarrow \mathbf{proc}$$

Thread semantics via game semantics

Implementation of seq (here for PSO):

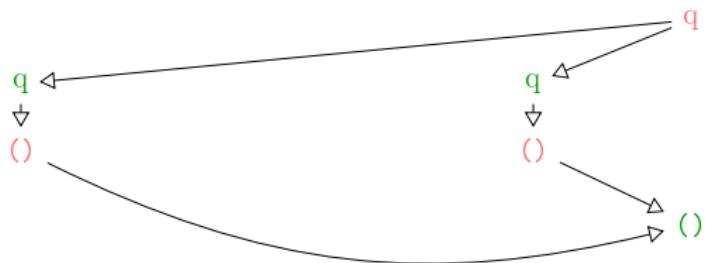
mem \Rightarrow (**mem** \Rightarrow **proc**) \Rightarrow (**mem** \Rightarrow **proc**) \Rightarrow **proc**

q

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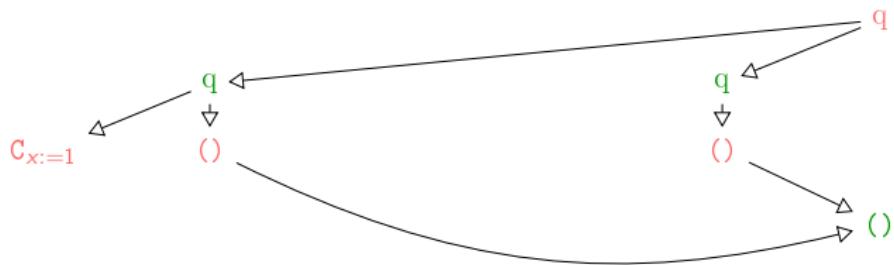
$\text{mem} \Rightarrow (\text{mem} \Rightarrow \text{proc}) \Rightarrow ((\text{mem} \Rightarrow \text{proc}) \Rightarrow \text{proc})$



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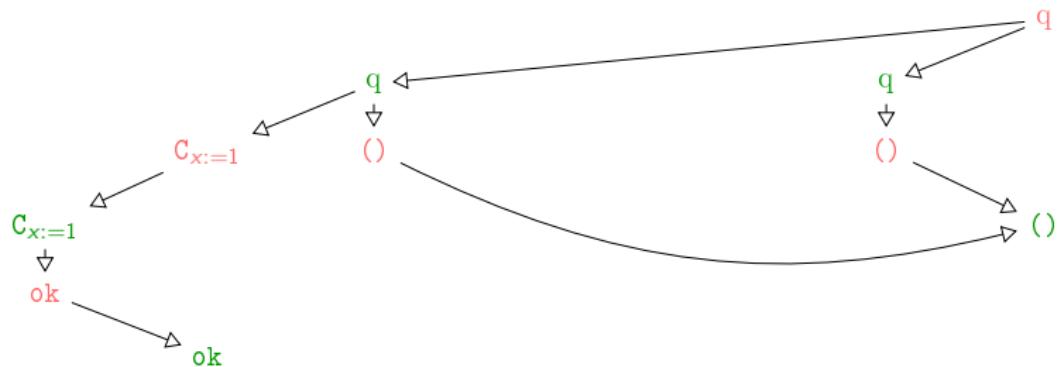
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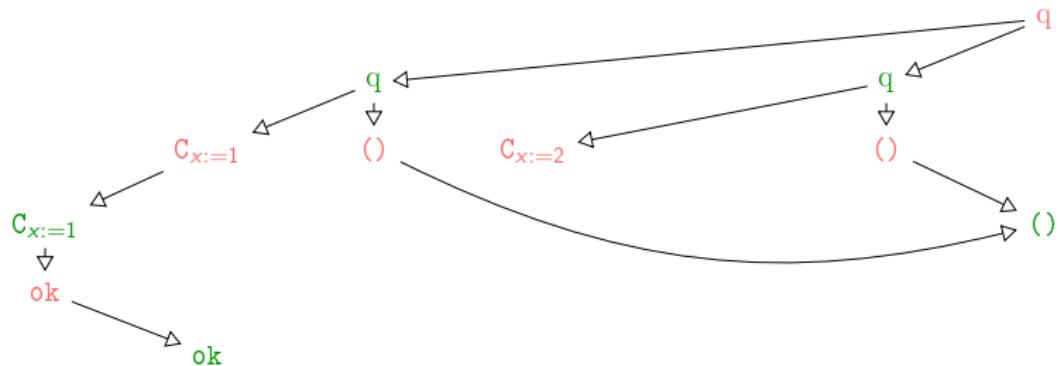
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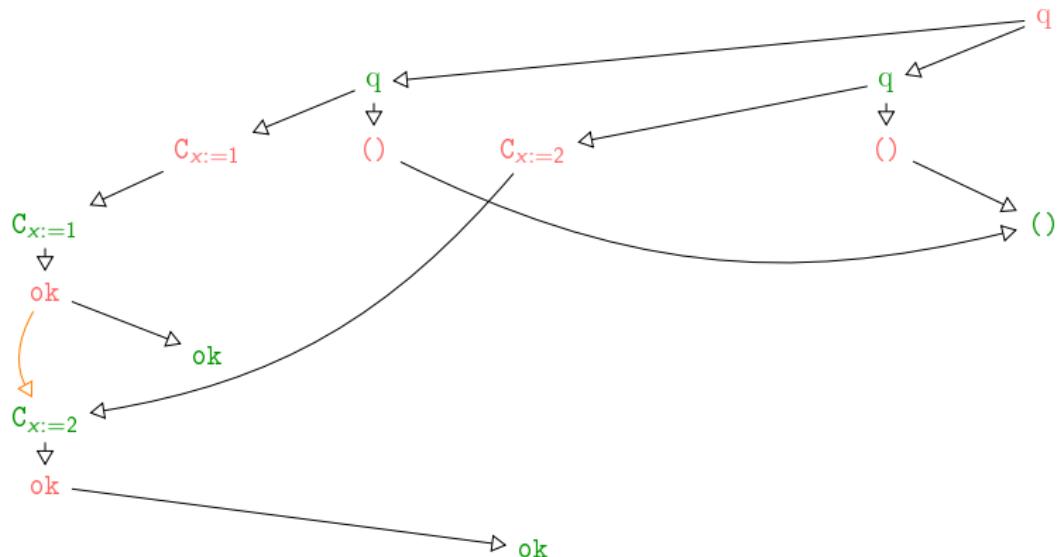
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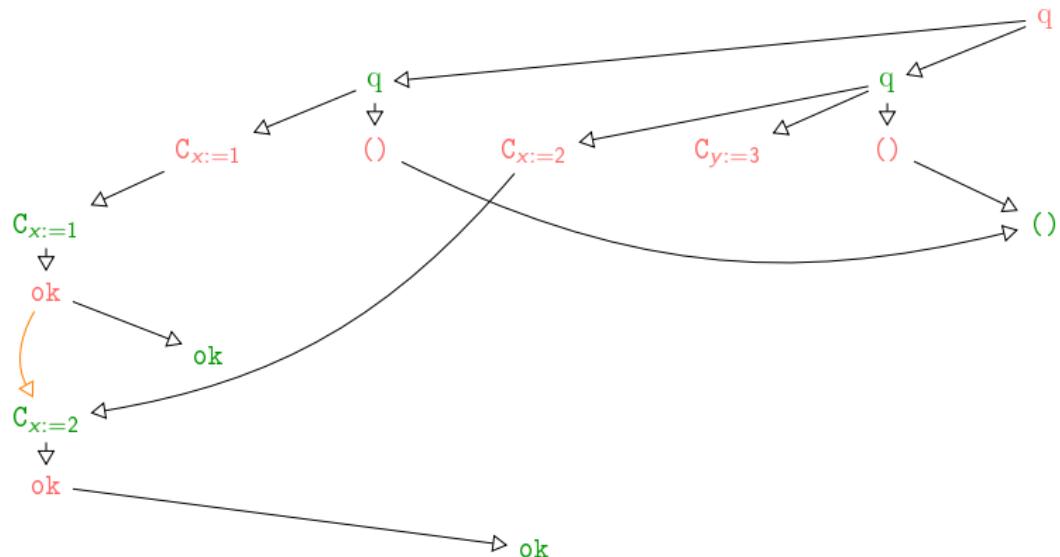
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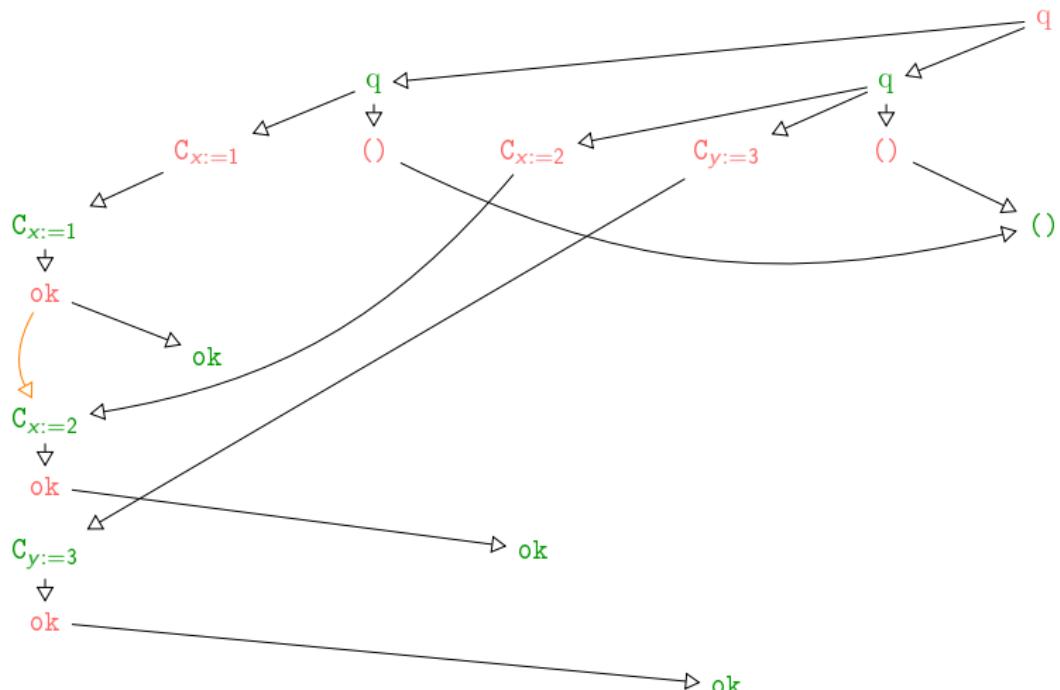
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Final model

Depends on the architecture \mathcal{A} :

- ▶ Thread operations: $\text{seq}_{\mathcal{A}}$, $\text{read}_{\mathcal{A}}$, $\text{write}_{\mathcal{A}}$ implement the reorderings specific to \mathcal{A} .
- ▶ Memory (representing caches, barriers, ...) is represented by

$$\mathbf{m}_{\mathcal{A}} : \mathbf{mem}$$

Executions on \mathcal{A} of $t_1 \parallel \dots \parallel t_n$ are represented by the interaction:

$$(\llbracket t_1 \rrbracket_{\mathcal{A}} \parallel \dots \parallel \llbracket t_n \rrbracket_{\mathcal{A}}) \circledast \mathbf{m}_{\mathcal{A}}.$$

$$\begin{array}{cc} C_{x:=1} \rightsquigarrow R?_x & C_{y:=1} \rightsquigarrow R?_y \\ \downarrow & \downarrow \\ \text{ok} & 0 \\ \downarrow & \downarrow \\ R?_x & C_{x:=1} & R?_y & C_{y:=1} \\ \downarrow & \downarrow & \downarrow & \downarrow \\ 1 & \text{ok} & 1 & \text{ok} \end{array}$$

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$C_{x:=1}$	\sim	$R?_x$	$C_{y:=1}$	\sim	$R?_y$
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
ok	0	ok	0		
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
$R?_x$	$C_{x:=1}$	$R?_y$	$C_{y:=1}$		
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
1	ok	1	ok		

Theorem

Traces generated by this model correspond to operational traces (on TSO).

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Theorem

Traces generated by this model correspond to operational traces (on TSO).

Perspectives

- ▶ Describe finitely non-innocent strategies.
 - ~~> Represent efficiently operations on them.
- ▶ Which language corresponds to innocent concurrent strategies?
 - ~~> Concurrent control operators (eg. `fork`).
- ▶ Weaker architectures (eg. ARM) and software specifications.
 - ~~> How to handle speculation, complex barriers specification.